

# Lect 6: Topological index for quantum Hall system

Magnetic translation for  $H = \frac{(\vec{p} - \frac{e}{c}\vec{A})^2}{2m}$  with  $\nabla \times \vec{A} = B\hat{z}$

① symmetric gauge  $\vec{A} = \frac{B}{2} \hat{z} \times \vec{r} = \frac{B}{2} (-y, x)$

$$T_x = e^{-i\delta x \cdot (p_x + \frac{e}{c}A_x)/\hbar} = e^{-i\delta x [-i\hbar\partial_x - \frac{eB}{2c}y]/\hbar}$$

$$T_y = e^{-i\delta y (p_y + \frac{e}{c}A_y)/\hbar} = e^{-i\delta y [-i\hbar\partial_y + \frac{eB}{2c}x]/\hbar}$$

② Landau gauge  $\vec{A} = -B(y, 0)$

$$T_x = e^{-i\delta x p_x/\hbar} \quad T_y = e^{-i\delta y [p_y + \frac{eB}{c}x]/\hbar}$$

Please check that  $[T_x, H] = [T_y, H] = 0$  for these two gauges.

## Magnetic Bloch theorem:

Define a magnetic unit cell with  $L_x \times L_y$  which encloses a

fundamental flux  $\Phi_0$ . Then  $\psi_{k_x, k_y}(x, y) = e^{ik_x x + ik_y y} u_{k_1, k_2}(x, y)$

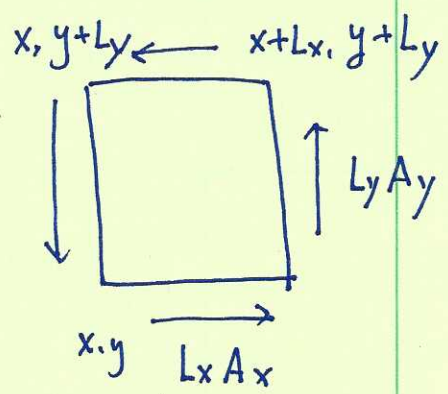
with  $u_{k_1, k_2}(x + L_x, y) = e^{i2\pi L_x A_x / \Phi_0} u_{k_1, k_2}(x, y)$

$$u_{k_1, k_2}(x, L_y + y) = e^{i2\pi L_y A_y / \Phi_0} u_{k_1, k_2}(x, y)$$

for the symmetric gauge.

HW: write down the magnetic Bloch theorem for the Landau gauge.

Define:  $\psi_{k_1, k_2}(x, y) = |\psi_{k_1, k_2}(x, y)| e^{i\theta_{k_1, k_2}(x, y)}$



winding number

$$P = \frac{+1}{2\pi} \oint d\vec{l} \nabla_{\vec{l}} \theta_{k_1, k_2}$$

— the number of zeros of  $\psi_{k_1, k_2}$ , i.e. vorticity.

$$\oint d\vec{l} \nabla_{\vec{l}} \theta_{k_1, k_2} = 2\pi \Phi_0 / \Phi_0 = 2\pi \Rightarrow P = 1.$$

HW: ① Verify that P is gauge-independent.

② Consider solving the B-de G equation for superconducting vortex lattices. How many vortices are enclosed in a magnetic unit cell?

### § Kubo formula for Hall conductance

§  $H = H_0 + \Delta H$ , where  $\Delta H = - \int dV \frac{1}{c} \vec{j} \cdot \vec{A}$

(here  $\vec{A}$  is related to the external electric field  $\vec{E}$  through  $\vec{E} = -\frac{1}{c} \frac{\partial \vec{A}}{\partial t}$ )

$$\hat{j}(\vec{r}) = -\frac{ie\hbar}{2m} (\psi^\dagger(\vec{r}) \nabla \psi(\vec{r}) - \nabla \psi^\dagger(\vec{r}) \psi(\vec{r}))$$

In the interaction picture

$$|\psi(t)\rangle_I = T \exp\left[-\frac{i}{\hbar} \int_{t_0}^t \Delta H(t') dt'\right] |\psi(t_0)\rangle_I \approx \left(1 - \frac{i}{\hbar} \int_{t_0}^t \Delta H(t') dt'\right) |\psi(t_0)\rangle_I$$

$$\hat{j}(\vec{r}, t) = e^{iH_0 t/\hbar} \hat{j}(\vec{r}) e^{-iH_0 t/\hbar}$$

We set as  $t_0 \rightarrow -\infty$ ,  $|\psi(t_0)\rangle$  is the ground state of  $H_0$

$$\Rightarrow \langle j(\vec{r}, t) \rangle = \langle 0(t) | \hat{j}(\vec{r}, t) | 0(t) \rangle$$

$$= \langle 0 | \left[1 + \frac{i}{\hbar} \int_{-\infty}^t \Delta H(t') dt'\right] \hat{j}(\vec{r}, t) \left[1 - \frac{i}{\hbar} \int_{-\infty}^t \Delta H(t') dt'\right] | 0 \rangle$$

$$= \langle 0 | \hat{j}(\vec{r}, t) | 0 \rangle + \frac{i}{\hbar} \int_{-\infty}^t dt' \langle 0 | [\Delta H(t'), \hat{j}(\vec{r}, t)] | 0 \rangle$$

$$\Rightarrow \langle j(\vec{r}, t) \rangle = -\frac{i}{\hbar} \int_{-\infty}^t dt' \langle 0 | [\hat{j}(\vec{r}, t), \Delta H(t')] | 0 \rangle$$

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HW: More precisely, the electric current formula above does not include the diamagnetic part. The true current

$$\vec{j}(\vec{r}) = -\frac{ie\hbar}{2m} (\psi^\dagger(\vec{r}) \nabla \psi(\vec{r}) - \nabla \psi^\dagger \psi) - \frac{e^2}{mc} \psi^\dagger \psi \vec{A}$$

$$\Rightarrow \vec{j}(\vec{r}, t) = -\frac{i}{\hbar} \int_{-\infty}^t dt' \langle 0 | [\hat{j}(\vec{r}, t), \Delta H(\vec{r}, t')] | 0 \rangle - \frac{e^2}{mc} n \vec{A}$$

$$\rightarrow \vec{j}(\vec{r}, t) = \frac{i}{\hbar} \int d\vec{r}' \int_{-\infty}^t dt' \langle 0 | [\hat{j}(\vec{r}, t), \hat{j}(\vec{r}', t')] | 0 \rangle \cdot \frac{\vec{A}(\vec{r}', t')}{c} - \frac{ne^2}{mc} \vec{A}(\vec{r}, t)$$

$$\rightarrow = \sum_{\vec{q}'} \frac{i}{V\hbar c} \int_{-\infty}^t dt' \langle 0 | [\hat{j}(\vec{r}, t), \hat{j}_\alpha(-\vec{q}', t')] | 0 \rangle A_\alpha(\vec{q}', t') - \frac{ne^2}{mc} \vec{A}(\vec{r}, t)$$

$$\Rightarrow j_\alpha(\vec{q}, t) = \frac{i}{V\hbar c} \int_{-\infty}^{+\infty} dt' \theta(t-t') \langle 0 | [\hat{j}_\alpha(\vec{q}, t), j_\beta(-\vec{q}, t')] | 0 \rangle A_\beta(\vec{q}, t') + \frac{ne^2}{mc} A_\alpha(\vec{q}, t)$$

only depend on t-t'

Define  $D_{\alpha\beta}(t-t') = \frac{i}{V\hbar} \theta(t-t') \langle 0 | [\hat{j}_\alpha(\vec{q}, t), j_\beta(-\vec{q}, t')] | 0 \rangle$

then  $j_\alpha(\vec{q}, t) = \frac{1}{c} \int_{-\infty}^{+\infty} dt' \sum_{\beta} D_{\alpha\beta}(t-t') A_\beta(\vec{q}, t') - \frac{ne^2}{mc} A_\alpha(\vec{q}, t)$

Define Fourier transform

$$j_\alpha(\vec{q}, t) = \int \frac{d\omega}{2\pi} j_\alpha(\vec{q}, \omega) e^{-i\omega t}$$

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$$D_{\alpha\beta}(t-t') = \int \frac{d\omega}{2\pi} D_{\alpha\beta}(q, \omega) e^{-i(\omega t - \omega' t')}$$

$$A_{\beta}(q, t') = \int \frac{d\omega'}{2\pi} A_{\beta}(q, \omega') e^{-i\omega' t'}$$

$$\Rightarrow j_{\alpha}(\vec{q}, t) = \frac{1}{c} \int_{-\infty}^{+\infty} dt' \int \frac{d\omega_1, d\omega_2}{(2\pi)^2} D_{\alpha\beta}(q, \omega) A_{\beta}(q, \omega') e^{-i\omega t} e^{i(\omega - \omega') t'} - \frac{ne^2}{mc} A_{\alpha}(\vec{q}, t)$$

$$= \frac{1}{c} \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} D_{\alpha\beta}(q, \omega) A_{\beta}(q, \omega) e^{-i\omega t} - \frac{ne^2}{mc} \int_{-\infty}^{+\infty} \frac{d\omega}{2\pi} A_{\alpha}(\vec{q}, \omega) e^{-i\omega t}$$

$$\Rightarrow j_{\alpha}(\vec{q}, \omega) = [D_{\alpha\beta}(q, \omega) - \frac{ne^2}{m} \delta_{\alpha\beta}] \frac{1}{c} A_{\beta}(\vec{q}, \omega)$$

According to  $\vec{E} = -\frac{1}{c} \partial_t \vec{A} \Rightarrow \vec{E}(\vec{q}, \omega) = +\frac{i\omega}{c} \vec{A}(\vec{q}, \omega)$

$$\Rightarrow j_{\alpha}(\vec{q}, \omega) = \frac{1}{i\omega} [D_{\alpha\beta}(q, \omega) - \frac{ne^2}{m} \delta_{\alpha\beta}] E_{\beta}(\vec{q}, \omega)$$

or  $\sigma_{\alpha\beta}(\vec{q}, \omega) = \frac{1}{i\omega} D_{\alpha\beta}(q, \omega) + \frac{ne^2}{m\omega} i \delta_{\alpha\beta}$

$$= \frac{1}{i\omega V} \int_{-\infty}^{+\infty} dt' \left(\frac{+i}{\hbar}\right) \theta(t-t') \langle 0 | [\hat{j}_{\alpha}(q, t), \hat{j}_{\beta}(-q, t')] | 0 \rangle e^{i\omega(t-t')} + i \frac{ne^2}{m\omega} \delta_{\alpha\beta}$$

$$\sigma_{\alpha\beta}(\vec{q}, \omega) = \frac{1}{\hbar\omega V} \int_{-\infty}^{+\infty} dt' \theta(t-t') e^{i(\omega+i\eta)(t-t')} \langle 0 | [\hat{j}_{\alpha}(q, t), \hat{j}_{\beta}(-q, t')] | 0 \rangle + \frac{ine^2}{m\omega} \delta_{\alpha\beta}$$

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Now we use the Lehman representation

$$\sigma_{\alpha\beta}(\vec{q}, \omega) = \frac{1}{\hbar\omega V} \int_0^{+\infty} dt e^{i(\omega+i\eta)t} \left\{ \langle 0 | j_{\alpha}(q) | n \rangle \langle n | j_{\beta}(-q) | 0 \rangle e^{-i(E_n - E_0)t} \right. \\ \left. - \langle 0 | j_{\beta}(-q) | n \rangle \langle n | j_{\alpha}(q) | 0 \rangle e^{i(E_n - E_0)t} \right\} \\ + \frac{ine^2}{m\omega} \delta_{\alpha\beta}$$

$$= \frac{1}{\hbar\omega V} \sum_n \frac{-\langle 0 | j_{\alpha}(q) | n \rangle \langle n | j_{\beta}(-q) | 0 \rangle}{i[\omega+i\eta - \frac{(E_n - E_0)}{\hbar}]} + \frac{\langle 0 | j_{\beta}(-q) | n \rangle \langle n | j_{\alpha}(q) | 0 \rangle}{i[\omega+i\eta + \frac{(E_n - E_0)}{\hbar}]}$$

Set  $\vec{q} = 0$ ,

$$\sigma_{\alpha\beta}(\omega) = \frac{i}{\omega V} \sum_n \left\{ \frac{\langle 0 | j_{\alpha} | n \rangle \langle n | j_{\beta} | 0 \rangle}{\hbar\omega + i\eta - (E_n - E_0)} - \frac{\langle 0 | j_{\beta} | n \rangle \langle n | j_{\alpha} | 0 \rangle}{\hbar\omega + i\eta + (E_n - E_0)} \right\} \\ + \frac{ine^2}{m\omega} \delta_{\alpha\beta}$$

For Hall conductance, set  $\omega \rightarrow 0$ ,  $\alpha = x$  and  $\beta = y$

$$\frac{1}{\hbar\omega - (E_n - E_0)} = \frac{-1}{E_n - E_0} - \frac{\hbar\omega}{(E_n - E_0)^2}$$

$$\frac{1}{\hbar\omega + (E_n - E_0)} = \frac{1}{E_n - E_0} - \frac{\hbar\omega}{(E_n - E_0)^2}$$

$$\Rightarrow \sigma_{xy} = \frac{i\hbar}{V} \sum_{n \neq 0} \frac{\langle 0 | j_y | n \rangle \langle n | j_x | 0 \rangle - \langle 0 | j_x | n \rangle \langle n | j_y | 0 \rangle}{(E_n - E_0)^2}$$

★ Consider  $H = \frac{(\vec{p} - \frac{e}{c}\vec{A})^2}{2m}$

$\psi_{\alpha, \vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} u_{\alpha, \vec{k}}(\vec{r})$ , with  $H \psi_{\alpha, \vec{k}}(\vec{r}) = E_{\alpha}(\vec{k}) \psi_{\alpha, \vec{k}}(\vec{r})$

$\Rightarrow \left[ e^{-i\vec{k}\cdot\vec{r}} H e^{i\vec{k}\cdot\vec{r}} \right] u_{\alpha, \vec{k}}(\vec{r}) = E_{\alpha}(\vec{k}) u_{\alpha, \vec{k}}(\vec{r})$

$\hat{H}(\vec{k}) u_{\alpha, \vec{k}}(\vec{r}) = E_{\alpha}(\vec{k}) u_{\alpha, \vec{k}}(\vec{r})$

$\alpha$  - band index,  $\vec{k} = (k_x, k_y)$  labels states in a magnetic Brillouin zone.

$\hat{\psi}(\vec{r}) = \sum_{\vec{k}, \alpha} e^{i\vec{k}\cdot\vec{r}} u_{\alpha, \vec{k}}(\vec{r}) C_{\alpha}(\vec{k})$

field operator

$\hat{j}(\vec{r}) = \frac{e}{2m} \left[ \hat{\psi}^{\dagger}(\vec{r}) \left[ -i\hbar \nabla - \frac{e}{c} \vec{A}(\vec{r}) \right] \hat{\psi}(\vec{r}) - \left[ -i\hbar \nabla + \frac{e}{c} \vec{A}(\vec{r}) \right] \hat{\psi}^{\dagger}(\vec{r}) \hat{\psi}(\vec{r}) \right]$

$\hat{j}(\vec{q}=0) = \int d^3r \hat{j}(\vec{r}) = \int d^3r \sum_{\vec{k}, \alpha} \sum_{\vec{k}', \beta'} \frac{e}{m} \left[ e^{-i\vec{k}'\cdot\vec{r}} u_{\alpha, \vec{k}}^*(\vec{r}) \left( -i\hbar \nabla - \frac{e}{c} \vec{A} \right) e^{i\vec{k}'\cdot\vec{r}} u_{\beta, \vec{k}'}(\vec{r}) \right] C_{\alpha, \vec{k}}^{\dagger} C_{\beta, \vec{k}}$

$= \frac{e}{m} \sum_{\vec{k}\alpha, \vec{k}'\beta'} C_{\alpha, \vec{k}}^{\dagger} C_{\beta, \vec{k}'} \langle \vec{k}\alpha | \hat{v} | \vec{k}'\beta \rangle$

where  $|\vec{k}\alpha\rangle = e^{i\vec{k}\cdot\vec{r}} u_{\alpha, \vec{k}}(\vec{r})$

and  $\langle \vec{k}\alpha | \hat{v} | \vec{k}'\beta \rangle = \int d^3r \left( u_{\vec{k}\alpha}(\vec{r}) e^{i\vec{k}\cdot\vec{r}} \right)^* \left( -i\hbar \nabla - \frac{e}{mc} \vec{A} \right) \left( e^{i\vec{k}'\cdot\vec{r}} u_{\vec{k}'\beta}(\vec{r}) \right)$

Since magnetic translation  $\hat{T}_R$  commutes with  $\hat{v}$  - velocity operator, (8)

$$[\hat{T}_R, \hat{v}] = 0 \Rightarrow \hat{T}_R (\hat{v} |k\rangle_\alpha) = \hat{v} \hat{T}_R |k\rangle_\alpha = e^{i\vec{k}\cdot\vec{R}} (\hat{v} |k\rangle_\alpha)$$

$$\Rightarrow \langle k\alpha | \hat{v} |k'\beta\rangle = \delta_{kk'} \langle k\alpha | \hat{v} |k\beta\rangle$$

where  $\langle k\alpha | \hat{v} |k\beta\rangle = \int d^3r u_{k\alpha}^*(\vec{r}) e^{-i\vec{k}\cdot\vec{r}} \left[ -\frac{i\hbar\nabla}{m} - \frac{e}{mc} \vec{A} \right] (e^{i\vec{k}\cdot\vec{r}} u_{k\beta}(\vec{r}))$

$$= \int d^3r u_{k\alpha}^*(\vec{r}) \left[ -\frac{i\hbar\nabla}{m} + \frac{\hbar\vec{k}}{m} - \frac{e}{mc} \vec{A} \right] u_{k\beta}(\vec{r})$$

$$= \int d^3r u_{k\alpha}^*(\vec{r}) \frac{\partial H(\vec{k})}{\partial \vec{k}} u_{k\beta}(\vec{r}) \leftarrow \text{Exercise: prove it}$$

$$= \boxed{\langle u_{k\alpha} | \frac{\partial H(\vec{k})}{\partial \vec{k}} | u_{k\beta} \rangle = \langle k\alpha | \hat{v} |k\beta\rangle}$$

For non-interacting system:  $|n\rangle = c_{\alpha,k}^\dagger c_{\beta,k} |0\rangle \leftarrow$  since  $j(q=0)$  conserved  $\vec{k}$

$$\Rightarrow \sigma_{xy} = \frac{i\hbar e^2}{4V} \sum_{\substack{\alpha, \beta \\ E_\beta(k) > E_F > E_\alpha(k)}} \frac{\langle k\alpha | v_y |k\beta\rangle \langle k\beta | v_x |k\alpha\rangle - \langle k\alpha | v_x |k\beta\rangle \langle k\beta | v_y |k\alpha\rangle}{(E_\beta(k) - E_\alpha(k))^2}$$

$$= \frac{i\hbar e^2}{V\hbar^2} \sum_{\substack{\alpha, \beta \\ E_\beta(k) > E_F > E_\alpha(k)}} \frac{\left\{ \langle u_{k\alpha} | \frac{\partial H(k)}{\partial k_y} | u_{k\beta} \rangle \langle u_{k\beta} | \frac{\partial H(k)}{\partial k_x} | u_{k\alpha} \rangle - \langle u_{k\alpha} | \frac{\partial H(k)}{\partial k_x} | u_{k\beta} \rangle \langle u_{k\beta} | \frac{\partial H(k)}{\partial k_y} | u_{k\alpha} \rangle \right\}}{(E_\beta(k) - E_\alpha(k))^2}$$



Exercise :  $\langle u_{k,\alpha} | \frac{\partial H(k)}{\partial k_x} | u_{k,\beta} \rangle = (E_k^\beta - E_k^\alpha) \langle u_{k,\alpha} | \frac{\partial}{\partial k} u_{k,\beta} \rangle$

Prove:

$$= -(E_k^\beta - E_k^\alpha) \langle \frac{\partial u_{k,\alpha}}{\partial k} | u^\beta \rangle$$

$$\Rightarrow \sigma_{xy} = \frac{i\hbar e^2}{V} \sum_{E_\beta(k) > E_F > E_\alpha(k)} \left\{ \langle \frac{\partial u_{k,\alpha}}{\partial k_y} | u_{k,\beta} \rangle \langle u_{k,\beta} | \frac{\partial u_{k,\alpha}}{\partial k_x} \rangle - \langle \frac{\partial u_{k,\alpha}}{\partial k_x} | u_{k,\beta} \rangle \langle u_{k,\beta} | \frac{\partial u_{k,\alpha}}{\partial k_y} \rangle \right\}$$

using  $\sum_{E^\alpha < E_F < E^\beta} \langle u_{k,\alpha} | u_{k,\alpha} \rangle + \langle u_{k,\beta} | u_{k,\beta} \rangle = 1$  ← for a fixed  $k$

$$\Rightarrow \sigma_{xy} = \frac{i\hbar e^2}{V} \sum_{k, \alpha < E_F < \beta} \left\langle \frac{\partial u_{k,\alpha}}{\partial k_y} \middle| \frac{\partial u_{k,\alpha}}{\partial k_x} \right\rangle - \left\langle \frac{\partial u_{k,\alpha}}{\partial k_x} \middle| \frac{\partial u_{k,\alpha}}{\partial k_y} \right\rangle - \left\langle \frac{\partial u_{k,\alpha}}{\partial k_y} \middle| u_{k,\alpha} \right\rangle \langle u_{k,\alpha} | \frac{\partial u_{k,\alpha}}{\partial k_x} \rangle + \left\langle \frac{\partial u_{k,\alpha}}{\partial k_x} \middle| u_{k,\alpha} \right\rangle \langle u_{k,\alpha} | \frac{\partial u_{k,\alpha}}{\partial k_y} \rangle$$

The 2nd line:  $\left\langle \frac{\partial u_{k,\alpha}}{\partial k_y} \middle| u_{k,\alpha} \right\rangle = - \left\langle u_{k,\alpha} \middle| \frac{\partial u_{k,\alpha}}{\partial k_y} \right\rangle \Rightarrow$  the 2nd line = 0

$\left\langle u_{k,\alpha} \middle| \frac{\partial u_{k,\alpha}}{\partial k_x} \right\rangle = - \left\langle \frac{\partial u_{k,\alpha}}{\partial k_x} \middle| u_{k,\alpha} \right\rangle$

$$\Rightarrow \sigma_{x,y} = \frac{i\hbar e^2}{V} \sum_{\alpha < E_F} \sum_k \left\langle \frac{\partial u_{k,\alpha}}{\partial k_y} \middle| \frac{\partial u_{k,\alpha}}{\partial k_x} \right\rangle - \left\langle \frac{\partial u_{k,\alpha}}{\partial k_x} \middle| \frac{\partial u_{k,\alpha}}{\partial k_y} \right\rangle$$

$$= - \frac{ie^2}{\hbar} \sum_{\alpha < E_F} \int \frac{d^2k}{(2\pi)^2} \left[ \partial_{k_x} \langle u_{k,\alpha} | \partial_{k_y} u_{k,\alpha} \rangle - \partial_{k_y} \langle u_{k,\alpha} | \partial_{k_x} u_{k,\alpha} \rangle \right]$$

Define Berry connection  $\vec{A}_\alpha(\vec{k}) = \langle u_{\vec{k},\alpha} | -i\vec{\partial}_k | u_{\vec{k},\beta} \rangle$   
 $= \int d^2r u_{\vec{k},\alpha}^* (-i\vec{\partial}_k u_{\vec{k},\beta})$

⇒

$$\sigma_{xy} = \frac{e^2}{h} \frac{1}{2\pi} \sum_{\alpha < E_F} \int \left[ \partial_{k_x} A_{k_y}(\vec{k}) - \partial_{k_y} A_{k_x}(\vec{k}) \right] d^2k$$

$$\sigma_{xy} = \frac{e^2}{h} \sum_{\alpha < E_F} C_\alpha, \quad \text{with} \quad C_\alpha = \frac{1}{2\pi} \int d^2k \left( \nabla_k \times \vec{A}(\vec{k}) \right) \cdot \hat{z}$$

•  $C_\alpha$ : the Chern # associated with the  $\alpha$ -band!

\* Quantum mechanical WF can only be well-defined up to a phase. For a different phase convention

$$|u_{\vec{k}}\rangle \rightarrow |u'_{\vec{k}}\rangle = e^{i\theta(\vec{k})} |u_{\vec{k}}\rangle$$

It's easy to show that  $\vec{A}'(\vec{k}) = \vec{A}(\vec{k}) + \nabla_{\vec{k}} \theta(\vec{k})$ ,

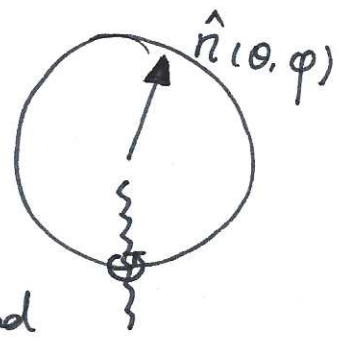
thus  $\sigma_{xy}$  is invariant.

\* If  $|u_{\vec{k}}\rangle$  is regularly defined over the entire BZ, then  $\vec{A}(\vec{k})$  is also regular. Since BZ has no boundary, we have

$$C_{\alpha} = \frac{1}{2\pi} \int d^2k (\nabla_{\vec{k}} \times \vec{A}(\vec{k}))_{\hat{z}} = 0.$$

\* In order to have non-zero  $C_{\alpha}$ ,  $|u_{\vec{k}}\rangle$  cannot be smoothly well-defined in the BZ. To understand it, let us use the spin-1/2 Berry phase example. The state  $\hat{n} \cdot \vec{\sigma} |\psi(\hat{n})\rangle = |\psi(\hat{n})\rangle \rightarrow$

$$|\psi(\hat{n})\rangle = \begin{pmatrix} \cos \frac{\theta}{2} \\ \sin \frac{\theta}{2} e^{i\varphi} \end{pmatrix}$$



we define  $|\psi(\hat{n})\rangle$  in the following convention

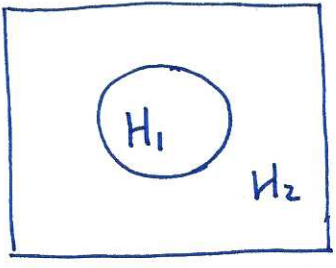
that  $\langle \uparrow | \psi(\hat{n}) \rangle > 0$ . Nevertheless, there's a bad

point at  $\hat{n}$  on the south pole, where  $\cos \frac{\theta}{2} = 0$ . As a result, the phase

of  $|\psi(\hat{n})\rangle$  is not well-defined! Look at the  $\downarrow$ -component —  $\varphi$  is not

This corresponds to the gauge that a Dirac string located at the south pole. Around the Dirac string, phase varies  $2\pi$ .

\* For the case  $|u_{\vec{k}}^{(\omega)}\rangle$ , we can choose a location  $\vec{r}_0$  inside the magnetic unit cell. The phase convention is that  $\langle \vec{r}_0 | u_{\vec{k}}^{(\omega)} \rangle > 0$ . Nevertheless, if there exist a point  $\vec{k}_0$ , such that  $\langle \vec{r}_0 | u_{\vec{k}_0}^{(\omega)} \rangle = 0$ , then the phase of  $|u_{\vec{k}_0}^{(\omega)}\rangle$  cannot be determined. Then  $\sigma_{xy}^{(\omega)}$  is given by the total vorticity of  $\langle \vec{r}_0 | u_{\vec{k}}^{(\omega)} \rangle$  as a function of  $\vec{k}$  lying the BZ. As an example, consider the case that  $\langle \vec{r}_0 | u_{\vec{k}}^{(\omega)} \rangle$  only vanishes at one point  $\vec{k}_0$  in the BZ. We divide the BZ into two parts  $S_1$  and  $S_2$



① inside  $H_1$ ,  $\langle \vec{r}_1 | u_{\vec{k}}^{(\omega)} \rangle > 0$ , the phase of  $|u_{\vec{k}}^{(\omega)}\rangle$  is well-defined with respect to a point  $\vec{r}_1$

② inside  $H_2$ ,  $\langle \vec{r}_0 | u_{\vec{k}}^{(\omega)} \rangle > 0$ ,  $|u_{\vec{k}}^{(\omega)}\rangle$ 's phase well-define respect to  $\vec{r}_0$ .

This corresponds to different choices of gauge. However, there's no a gauge the  $\vec{A}$  can be defined smoothly and uniquely.

At the boundary  $H_1$  and  $H_2$ , there's a phase mismatch

$$|u_{\vec{k}}^{\text{II}}\rangle = e^{i\chi(\vec{k})} |u_{\vec{k}}^{\text{I}}\rangle, \text{ where } \chi(\vec{k}) \text{ is a smooth}$$

function on  $\partial H$ .

$$\text{on } \partial H, \quad \vec{A}_{\text{II}}(\vec{k}) = \vec{A}_{\text{I}}(\vec{k}) + \nabla_{\vec{k}} \chi(\vec{k})$$

$$\Rightarrow \sigma_{xy}^{(\omega)} = \frac{e^2}{h} \frac{1}{2\pi} \left[ \int_{H_1} d^2k (\nabla_{\vec{k}} \times \vec{A}_{\text{I}})_3 + \int_{H_2} d^2k (\nabla_{\vec{k}} \times \vec{A}_{\text{II}})_3 \right]$$

$$= \frac{e^2}{h} \frac{1}{2\pi} \oint_{\partial H} [A_{\text{I}}(\vec{k}) - A_{\text{II}}(\vec{k})] d\vec{k} = \frac{e^2}{h} \frac{1}{2\pi} \oint \nabla_{\vec{k}} \chi \cdot d\vec{k}$$

$$= \frac{e^2}{h} n \quad \text{where } n = \frac{1}{2\pi} \oint_{\partial H} d\vec{k} \cdot \nabla_{\vec{k}} \chi \quad \leftarrow \text{the winding number}$$