

# Lecture 5 — Landau levels and quantum Hall effect

①

$$H_{2D} = \frac{(\vec{p} - \frac{e}{c}\vec{A})^2}{2m}, \quad \text{where } \vec{A} = +B(y, 0), \quad \boxed{\text{only depends on } y, \text{ not on } x}$$

Then  $(\nabla \times \vec{A})_z = \partial_x A_y - \partial_y A_x = -B$ ; this gauge is first used by Landau

thus is called Landau gauge. It's simpler than the symmetric gauge

$\vec{A} = \frac{-B}{2} \hat{z} \times \vec{r}$ , but it doesn't preserve rotation symmetry, explicitly.

$$H_{2D} = \frac{(p_x - \frac{e}{c}By)^2}{2m} + \frac{p_y^2}{2m} = \frac{p_y^2}{2m} + \frac{1}{2}m\omega_c^2 \left(y - \frac{l_B^2 p_x}{\hbar}\right)^2$$

$$\text{where } \omega_c = \frac{eB}{mc}, \quad l_B = \sqrt{\frac{\hbar c}{|eB|}}$$

We solve the wavefunctions,  $\psi_{n, k_x}(\vec{r}) = f_n(y) e^{ik_x x}$

$$\Rightarrow \left[ \frac{p_y^2}{2m} + \frac{1}{2}m\omega_c^2 \left(y - l_B^2 k_x\right)^2 \right] f_n = E_n f_n$$

$$\Rightarrow E_n = \left(n + \frac{1}{2}\right)\hbar\omega_c, \quad \text{which is independent of } k_x.$$

and  $f_n(y) = \phi_n(y - y_0(k_x))$  which is a center-shifted harmonic oscillator wavefunction

$$\phi_n(x) = \left[ \frac{1}{\sqrt{\pi} 2^n n! l_B} \right]^{1/2} H_n\left(\frac{x}{l_B}\right) e^{-\frac{x^2}{2l_B^2}}, \quad \text{where } H_n \text{ is the}$$

★ Hermite polynomial.

$$H_0(x) = 1, \quad H_1(x) = 2x, \quad H_3(x) = 4x^2 - 2, \dots$$

a general formula for Hermite polynomial is

$$H_n(x) = (-1)^n e^{x^2} \frac{d^n}{dx^n} (e^{-x^2})$$

it's generation function is

$$e^{-s^2 + 2xs} = \sum_{n=0}^{\infty} \frac{H_n(x)}{n!} s^n$$

orthonormal condition

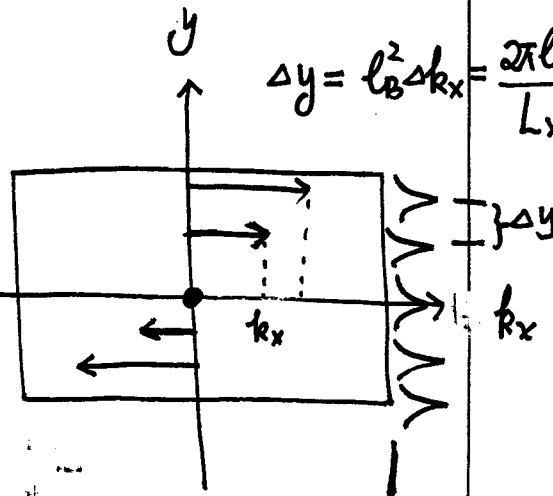
$$\int_{-\infty}^{+\infty} H_m(x) H_n(x) e^{-x^2} dx = \sqrt{\pi} 2^n n! \delta_{nm}$$

You can look them up in any math-physics textbooks.

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§ spatial separation of chiral modes and non-commutative geometry.

The system behaves like a <sup>set</sup> one-dimensional harmonic oscillators along the y-direction.



(Let us focus on the LLL with n=0,

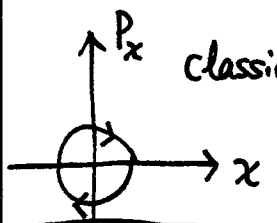
such that all the oscillators are in the vibrational ground states)

The interesting thing is that the centers of these harmonic modes are correlated with its momentum along the x-direction. For those with  $k_x > 0$ , their centers are shifted up, and for those with  $k_x < 0$ , their centers are shifted down.

In other words, the  $y$ -axis plays the role of the momentum of the  $x$ -axis. If we only keep the LLL states (this is justified in the case of the gap between LLs  $\hbar\omega_c$  is much larger than all the other energy scales that we are interested, i.e. in the limit of  $\hbar\omega_c \rightarrow +\infty$ . This process is called LLL projection).

$$[x, y]_{LLL} = [x, l_B^2 p_x / \hbar] = i l_B^2$$

non-commutative geometry



classic physics

$$[x, p_x] = i\hbar$$

the classic orbits are quantized

QM mechanics

$$\oint p dx = n\hbar$$

Classic orbits in phase space has chirality!

in usual QM  $[x, y] = 0$ ,

after LLL projection,  $[x, y]_{LLL} = i l_B^2$ .

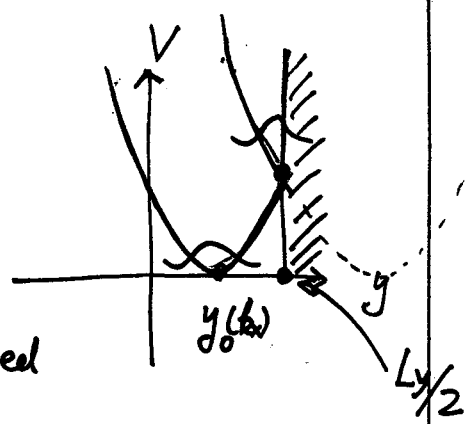
Thus the 2D LL in the LLL projection, the  $(x, y)$ -plane behaves as the phase space of  $(x, k_x)$ .

### ★ Edge spectra:

The effective potential for the state with momentum  $k_x$ , is

$$V_{k_x} = \frac{1}{2} m \omega_c^2 (y - l_B^2 k_x)^2$$

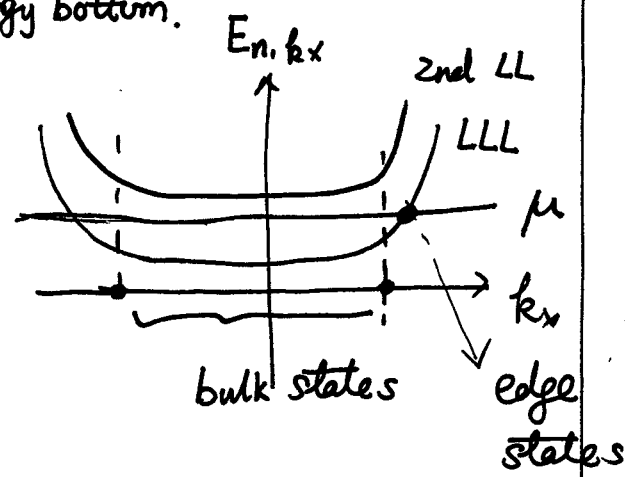
If we impose a boundary along the  $y = \frac{L_y}{2}$ , thus  $V_{k_x}$  is truncated to  $+\infty$  at  $y = \frac{L_y}{2}$ .



For states  $k_x < \frac{L_y}{2 l_B^2}$ , its center of  $V_{k_x}(y)$  is away from the boundary, thus it's not affected but the boundary. But as  $k_x > \frac{L_y}{2 l_B^2}$ , its bottom is cut by the boundary, and thus its energy is pushed up. Even at the classic

level, we have  $E_n(k_x) = \underbrace{\frac{1}{2} m \omega_c^2 (l_B^2)^2}_{\text{energy bottom}} (k_x - \frac{L_y}{2 l_B^2})^2 + (n + \frac{1}{2}) \hbar \omega_c$

Thus we have the LL spectra with imposing boundaries at  $-\frac{L_y}{2}$ , and  $\frac{L_y}{2}$ .



# \* Chirality of the edge modes

The bulk states actually do not carry current. This is also consistent with the classical picture — Electrons do cyclotron motion, and thus no charge transport. Now we explicitly verify it.

$$j_x = \frac{1}{2m} \left[ \psi^* (P_x - \frac{e}{c} A_x) \psi - \psi (P_x + \frac{e}{c} A_x) \psi^* \right]$$

For bulk state  $\psi_{n, k_x}(x, y) = \phi_n(y - y_0(k_x)) \frac{e^{ik_x x}}{\sqrt{L_x}}$

$$(P_x - \frac{e}{c} A_x) \psi_{n, k_x} = [\hbar k_x - \frac{eB}{c} y] \psi_{n, k_x}$$

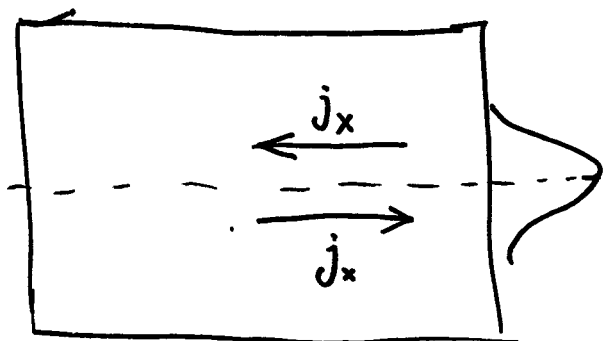
$$(P_x + \frac{e}{c} A_x) \psi_{n, k_x}^* = [-\hbar k_x + \frac{eB}{c} y] \psi_{n, k_x}^*$$

$$\Rightarrow j_x = \frac{1}{m} |\psi_{n, k_x}|^2 [\hbar k_x - \frac{eB}{c} y] = \frac{\hbar}{m} |\psi_{n, k_x}|^2 [y_0(k_x) - y]$$

$$\Rightarrow I_x = \int dy \cdot j_x = \frac{\hbar}{m} \int dy |\psi_{n, k_x}|^2 [y_0(k_x) - y]$$

$$= \frac{\hbar}{m} \int dy |\phi_n(y)|^2 \leftarrow y \leftarrow = 0$$

$\swarrow$  even function       $\searrow$  odd



The total current carried by each state  $\psi_{n, k_x}$  is zero.

If imposed with a boundary, how about edge states?

let's consider the upper edge at  $y = L_y/2$ , in the limit  $k_x \rightarrow +\infty$

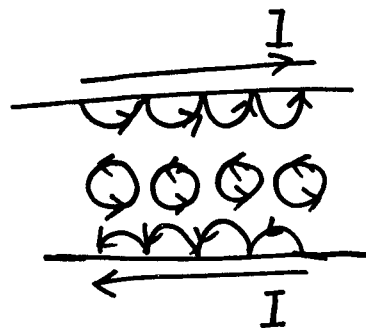
$$\psi_{n, k_x} = f_n(y) \frac{1}{\sqrt{L_x}} e^{ik_x x}, \quad \text{where } f_n(y) \rightarrow \delta(y - L_y/2)$$

$$\Rightarrow (P_x - \frac{e}{c} A_x) \psi_{n, k_x} \simeq (\hbar k_x - \frac{eB}{c} \frac{L_y}{2}) \psi_{n, k_x} \rightarrow \hbar k_x \psi_{n, k_x}$$

$$j_{x, n, k_x} \simeq \delta(y - L_y/2) \frac{\hbar k_x}{m} \frac{1}{\sqrt{L_x}}$$

Edge does carry current!

classical picture

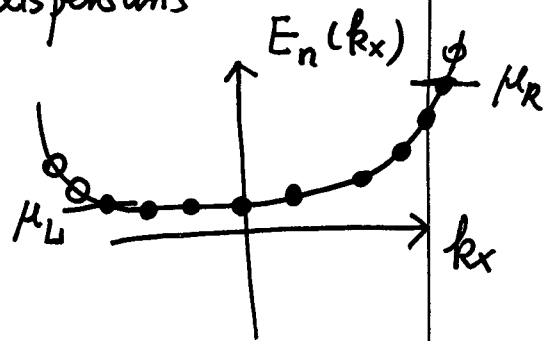


Now we calculate the Hall conductance

Now considering real sample, such that due to the effect of boundary and impurity, we cannot assume the Landau level energy is exactly flat, but with small dispersions

the group velocity

$$v_{k_x} = \frac{1}{\hbar} \frac{\partial E_n(k_x)}{\partial k_x}$$



$$j_{x, n, k_x} = e |\psi_{n, k_x}|^2 v_x \Rightarrow I_{x, n, k_x} = \int dy e |\psi_{n, k_x}|^2 v_x$$

$$= \frac{e}{L_x} \frac{1}{\hbar} \frac{\partial E_n(k_x)}{\partial k_x}$$

(assume  $\psi_{n, k_x} = f_n(y) \frac{e^{ik_x x}}{\sqrt{L_x}}$  and  $\int dy |f_n(y)|^2 = 1$ )

$$\begin{aligned} \Rightarrow I_{x,n} &= \sum_{k_x} I_{x,n} \cdot k_x = \frac{e}{L_x \hbar} L_x \int_{\text{occupied}} \frac{dk_x}{2\pi} \frac{\partial E(k_x)}{\partial k_x} \\ &= \frac{e}{2\pi \hbar} [E(\text{right occupied}) - E(\text{left, occupied})] = \frac{e}{h} \cdot e(\mu_R - \mu_L) \\ &= \frac{e^2}{h} \Delta V_y \end{aligned}$$

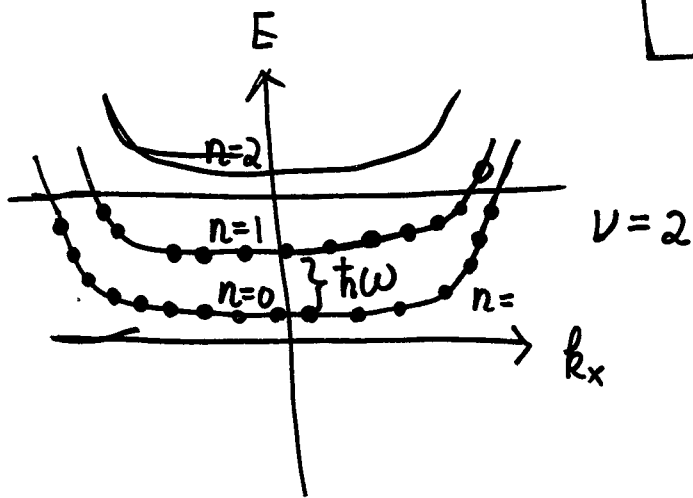
$\Rightarrow$  each Landau level contribute  $\sigma_{xy,n} = \frac{e^2}{h}$

$\Rightarrow$  total Hall conductance

$$\sigma_{xy} = \sum_{n, \text{occupied}} \sigma_{xy,n} = \frac{\nu e^2}{h}$$

$\nu$ : filling number

Quantum Hall effect

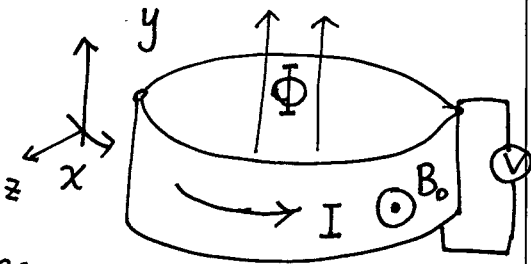


04/04/2012

## Laughlin argument for integer Quantum Hall

①

Goal: relate the current  $I$  carried around the loop (cylindrical geometry) to the voltage drop across the two edges in the  $y$ -direction.



$$\vec{A} = -B_0 y \hat{e}_x$$

Now let us thread a new flux  $\Phi$  in the center of the cylinder.

$$\begin{aligned} \Rightarrow \vec{B} &= \partial_x A_y - \partial_y A_x \\ &= B_0 \hat{e}_z \end{aligned}$$

The corresponding  $\vec{A}' = \frac{\Phi}{L_x} \hat{e}_x$ , where  $L_x$  is the circumference around  $\hat{e}_x$ -direction.

The current  $I'$  along the  $x$ -direction

$$I = c \frac{\partial E}{\partial \Phi} = \frac{c}{L_x} \frac{\partial E}{\partial A}$$

$$\text{c.f. } I = j_x \cdot L_y \Rightarrow \frac{1}{c} j_x \cdot A (L_x L_y) = \Delta E$$

For non-interaction electrons

$$H = \frac{1}{2m} \left( p_x - \frac{e}{c} A_x \right)^2 + \frac{1}{2m} p_y^2 + e E_0 y \quad \text{where } E_0 = \frac{\Delta V}{L_y}$$

$$\psi_{n, k_x}(\vec{r}) = e^{i k_x x} \phi_n(y - y_0(k_x))$$

$$\left[ \frac{1}{2m} \left( \hbar k_x - \frac{eB}{c} y \right)^2 + \frac{p_y^2}{2m} + e E_0 y \right] \phi_n(y - y_0(k_x)) = E_n \phi_n(y - y_0(k_x))$$



$$\frac{m}{2} \omega^2 \left[ y - \frac{\hbar c}{eB} k_x \right]^2 + eE_0 y = \frac{m}{2} \omega^2 \left[ y - \frac{\hbar c}{eB} k_x + \frac{eE_0}{m\omega^2} \right]^2$$

$$\omega = \frac{eB}{mc}$$

$$+ \frac{\hbar c}{eB} k_x eE_0 - \frac{1}{2} \frac{e^2 E_0^2}{m\omega^2}$$

$$= \frac{m}{2} \omega^2 \left[ y - y_0(k_x) \right]^2 + eE_0 \left[ \frac{\hbar c}{eB} k_x - \frac{eE_0}{m\omega^2} \right] + \frac{1}{2} \frac{eE_0}{m\omega^2}$$

$$y_0(k_x)$$

$$\frac{m}{2} \left[ \frac{eE_0}{m\omega^2} \right]^2 = \frac{m}{2} \left[ \frac{cE_0}{B} \right]^2$$

$$= \frac{m}{2} \omega^2 \left[ y - y_0(k_x) \right]^2 + eE_0 y_0(k_x) + \frac{mc^2}{2} \left[ \frac{E_0}{B} \right]^2$$

$$y_0(k_x) = \frac{\hbar c}{eB} k_x - \frac{eE_0}{m\omega^2} = \frac{1}{eB/mc} \left[ \frac{\hbar k_x}{m} - \frac{E_0 c}{B_0} \right] = \frac{1}{\omega} \left[ \frac{\hbar k_x}{m} - c \frac{E_0}{B_0} \right]$$

$$\Rightarrow E_n = (n + 1/2) \hbar \omega + eE_0 y_0(k_x) + \frac{1}{2} mc^2 \left( \frac{E_0}{B_0} \right)^2$$

Let us thread the extra flux  $\Delta A \hat{e}_x = \frac{\Phi}{L_x} \hat{e}_x \Rightarrow A = B_0 \left( y + \frac{\Delta A}{B_0} \right)$

$$\Rightarrow \frac{m}{2} \omega^2 \left[ y - \frac{\hbar c}{eB} k_x + \frac{\Delta A}{B_0} \right]^2 + eE_0 y$$

$$- \frac{eE_0}{m\omega^2}$$

$$= \frac{m}{2} \omega^2 \left[ y - \frac{\hbar c}{eB} k_x + \frac{eE_0}{m\omega^2} + \frac{\Delta A}{B_0} \right]^2 + eE_0 \left[ \frac{\hbar c}{eB} k_x - \frac{\Delta A}{B_0} \right]$$

$$= \frac{m}{2} \omega^2 \left[ y - y'_0(k_x) \right]^2 + eE_0 y'_0(k_x) + \frac{m\omega^2}{2} \left[ \frac{eE_0}{m\omega^2} \right]^2 + \frac{m}{2} c^2 \left[ \frac{E_0}{B_0} \right]^2$$

with  $y'_0(k_x) = y_0(k_x) - \frac{\Delta A}{B_0}$

Let change  $\Delta A \cdot L_x = \Delta \phi = \frac{hc}{e}$ , the system comes back to itself. The energy change is due to pump a particle between two edges

$$\Delta E = eV \Rightarrow I = c \frac{eV}{hc/e} = \frac{e^2}{h} V \Rightarrow \sigma_H = \frac{e^2}{h}$$

If there are several sets of Landau level  $\Rightarrow \sigma_H = \frac{ne^2}{h}$ .

★ For dirty and interacting systems

As long as, the Fermi surface lies inside the gap, thread a

$\Delta \phi = \frac{hc}{e}$  adiabatically cannot generate a particle-hole excitation

across the gap, thus the bulk should remain the same as

before flux threading. The only possible place to change is the

edge, which is gapless. It removes a particle from one edge,

moving it into the bulk, and extra another one from the bulk

to another edge.  $\rightarrow$  as a pump.