

Unconventional superconductivity — a general view, d-wave pairing etc. ①

§ Definition: (real space picture)

The Cooper pairing structure can be classified by its symmetry property.

Let us consider a strong coupling limit such that Cooper pairs can be viewed as diatom molecule whose real space wavefunctions can be written as

$$\psi_{\alpha_1 \alpha_2}(\vec{r}_1, \vec{r}_2) = \Phi(\vec{R}) \phi(\vec{r}_1 - \vec{r}_2) \chi_{\alpha_1 \alpha_2}$$

where $\vec{R} = \frac{\vec{r}_1 + \vec{r}_2}{2}$ is the center of mass coordinate, $\vec{r} = \vec{r}_1 - \vec{r}_2$ is the relative coordinate, $\chi_{\alpha_1 \alpha_2}$ is the spin-wave function. For simplicity, we assume

$\Phi(\vec{R}) = \text{constant}$, i.e. momentum zero pairing. In isotropic system, we can expand $\phi(\vec{r}_1 - \vec{r}_2)$ in terms of angular momentum basis. If no spin-orbit

coupling, $\chi_{\alpha_1 \alpha_2}$ can be classified as $\chi_s = \frac{|\uparrow_1\rangle|\downarrow_2\rangle - |\downarrow_1\rangle|\uparrow_2\rangle}{\sqrt{2}}$ (spin singlet)

and $\chi_{t, S_z=1,0,-1} = \begin{cases} |\uparrow_1\rangle|\uparrow_2\rangle, \\ \frac{|\uparrow_1\rangle|\downarrow_2\rangle + |\downarrow_1\rangle|\uparrow_2\rangle}{\sqrt{2}}, \\ |\downarrow_1\rangle|\downarrow_2\rangle \end{cases}$ (spin triplet).

Considering the fermionic statistics, $\psi_{\alpha_1 \alpha_2}(\vec{r}_1, \vec{r}_2) = -\psi_{\alpha_2 \alpha_1}(\vec{r}_2, \vec{r}_1)$, we have

$$\psi_{\alpha_1 \alpha_2}(\vec{r}_1, \vec{r}_2) = \begin{cases} R_n(r) Y_{lm}(\vec{r}) \chi_s & (\text{for } l = \text{even}) \\ R_n(r) Y_{lm}(\vec{r}) \chi_{t, 0, \pm 1} & (\text{for } l = \text{odd}). \end{cases}$$

$R_n(r)$ is the radial wavefunction, and n is the radial quantum number.

classification: according to symmetry.

① conventional pairing: s-wave, spin singlet, $R_{n=0}(r)$ positive definite. — Hg, Al, Pb, etc

② unconventional pairing: all other pairing symmetries except the s-wave.

example: d-wave high T_c cuprates, singlet (Nobel prize)

p-wave ^3He -A and B phases, spin triplet (Nobel prizes)
 Sr_2RuO_4 (?) almost

f-wave ? UPt_3

They may be nodal or nodeless, may be topologically trivial or not.

③ Extended s-wave: pairing wavefunction does not change sign as varying angular variables, but changes sign along radial direction.

(e.g. Iron-based superconductors, but not fully settled yet!)

* Unconventional pairing can save Coulomb repulsion energy since $\phi(\vec{r}=0) = 0$. The probability of two electrons coincide at the same point vanishes!

§ Weak coupling (momentum space picture) — gap equation

we first consider the unconventional pairing in the singlet channel.

The simplest and most celebrated example is the high T_c cuprates, whose physics mainly occurs in the 2D CuO plane. The lattice structure is square, and the rotation symmetry is only 4-fold.

Background:

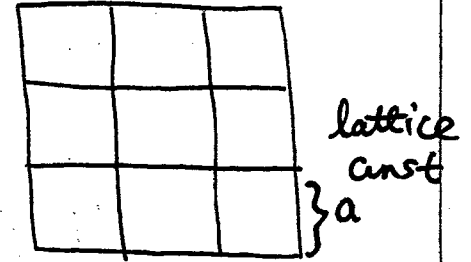
The kinetic energy: tight-binding model

$$H_0 = -t \sum_{\langle i,j \rangle} C_{i\sigma}^{\dagger} C_{j\sigma} + \text{h.c.}$$

plug in Fourier component

$$C_i = \frac{1}{\sqrt{N}} \sum_{\mathbf{k}} e^{i\mathbf{k} \cdot \vec{r}_i} C_{\mathbf{k}}$$

N is the number of lattice sites



$$\Rightarrow H_0 = \sum_{\mathbf{k}} \epsilon_{\mathbf{k}} C_{\mathbf{k}\sigma}^{\dagger} C_{\mathbf{k}\sigma} \quad \text{with} \quad \epsilon_{\mathbf{k}} = -2t(\cos k_x + \cos k_y) - \mu$$

Ex: ① please derive the H_0 in momentum space.

② prove that at half filling, i.e. $\langle n \rangle = \langle C_{i\sigma}^{\dagger} C_{i\sigma} \rangle = 1$.

The chemical potential $\mu = 0$, and the Fermi surface has the shape of a diamond, i.e.

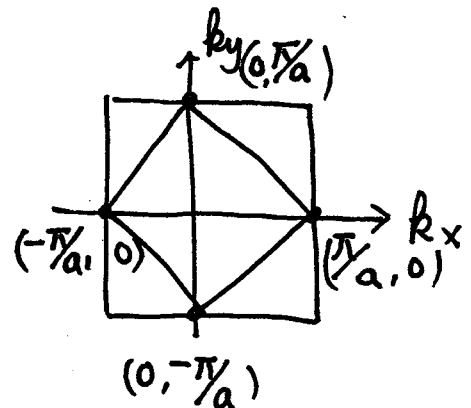
$$\cos k_x + \cos k_y = 0$$

③ please also plot Fermi surfaces

for negative values of μ ,

say $|\mu/t| = 0.05, 0.1, \text{ etc.}$

This corresponds to the situation of doping.



Due to the strong onsite Coulomb interaction, we consider the pairing on NN bonds. (The mechanism for the gluing force remains unknown).

$$H_{int} = -\frac{V}{2} \sum_{\delta = \pm \hat{x}, \pm \hat{y}} (C_{i+\delta\downarrow}^\dagger C_{i\uparrow}^\dagger - C_{i+\delta\uparrow}^\dagger C_{i\downarrow}^\dagger) (C_{i\uparrow} C_{i+\delta\downarrow} - C_{i\downarrow} C_{i+\delta\uparrow})$$

— phenomenological interaction leading to d-wave pairing

perform Fourier transformation, and keep the pairing term

$$H_{pair} = -\frac{V}{2N} \sum_{\mathbf{k}, \mathbf{k}'} \sum_{\vec{\delta}} e^{i\mathbf{k}' \cdot \vec{\delta}} e^{-i\mathbf{k} \cdot \vec{\delta}} \left[\begin{array}{c} C_{-\mathbf{k}'\downarrow}^\dagger C_{\mathbf{k}'\uparrow}^\dagger \\ - C_{-\mathbf{k}'\uparrow}^\dagger C_{\mathbf{k}'\downarrow}^\dagger \end{array} \right] \left[\begin{array}{c} C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} - C_{\mathbf{k}\downarrow} C_{-\mathbf{k}\uparrow} \end{array} \right]$$

$$= -\frac{V}{2N} \sum_{\mathbf{k}, \mathbf{k}'} 4 (\cos k'_x \cos k_y + \cos k'_y \cos k_x) C_{-\mathbf{k}'\downarrow}^\dagger C_{\mathbf{k}'\uparrow}^\dagger C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow}$$

$$= -\frac{V}{N} \sum_{\mathbf{k}, \mathbf{k}'} \left\{ (\cos k'_x + \cos k'_y) C_{-\mathbf{k}'\downarrow}^\dagger C_{\mathbf{k}'\uparrow}^\dagger (\cos k_x + \cos k_y) C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} \right. \\ \left. + (\cos k'_x - \cos k'_y) C_{-\mathbf{k}'\downarrow}^\dagger C_{\mathbf{k}'\uparrow}^\dagger (\cos k_x - \cos k_y) C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} \right\}$$

Define $\Delta_s = \frac{V}{N} \sum_{\mathbf{k}} C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} (\cos k_x + \cos k_y)$

$$\Delta_d = \frac{V}{N} \sum_{\mathbf{k}} C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} (\cos k_x - \cos k_y)$$

$$\frac{1}{N} H_{MF} = -\frac{V}{2N} \sum_{\mathbf{k}} \Delta_s^* (\cos k_x + \cos k_y) C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} + h.c$$

$$- \frac{V}{N} \sum_{\mathbf{k}} \Delta_d^* (\cos k_x - \cos k_y) C_{\mathbf{k}\uparrow} C_{-\mathbf{k}\downarrow} + h.c$$

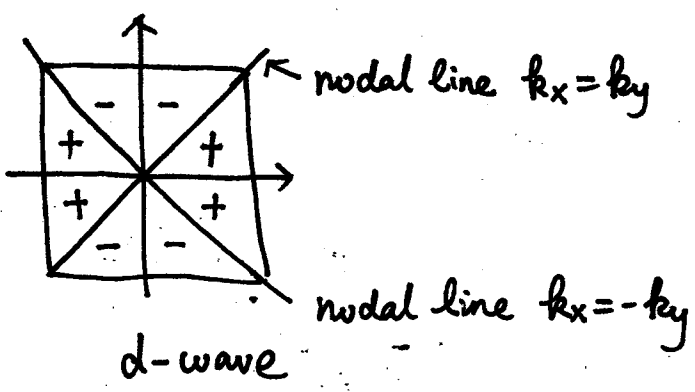
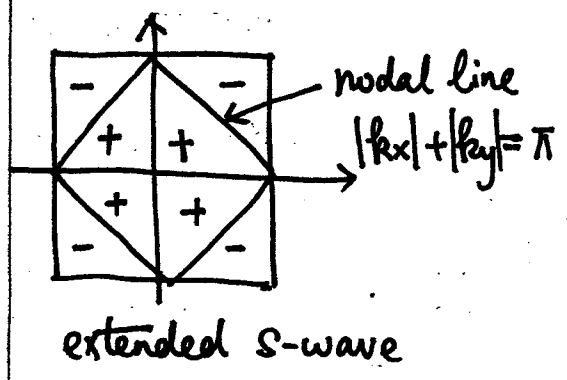
$$+ \frac{1}{V} (\Delta_s^* \Delta_s + \Delta_d^* \Delta_d)$$

We have chosen the interaction $V(k, k') = V_0 (\cos k'_x \cos k_x + \sin k'_y \sin k_y)$.

This interaction can give rise to two possible singlet pairing symmetries:

the extended s-wave: gap function $\Delta_s(\cos k_x + \cos k_y)$

d-wave: gap function $\Delta_d(\cos k_x - \cos k_y)$.



rotational invariant

but changes sign acrossing

$|k_x| + |k_y| = \pi$.

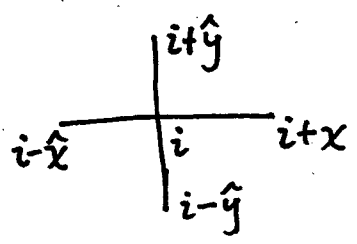
rotate 90°

$\Delta_d \rightarrow -\Delta_d$

Ex: please perform Fourier transformation back to real space.

① Δ_s corresponds to the real space pattern

$\Delta(i, i+x) = \Delta(i, i-x) = \Delta(i, i+\hat{y}) = \Delta(i, i-\hat{y})$



② Δ_d corresponds to the pattern

$\Delta(i, i+x) = \Delta(i, i-x) = -\Delta(i, i+\hat{y}) = -\Delta(i, i-\hat{y})$

where $\Delta(i, i+\delta) = V \langle C_{i\uparrow} C_{i+\delta\downarrow} - C_{i\downarrow} C_{i+\delta\uparrow} \rangle$.

The extended s-wave and d-wave compete, and the d-wave pairing wins. The reason is that the nodal lines of Δ_s coincide with the Fermi surface at half-filling (For high T_c cuprates, the filling is very close to half-filling), thus the gap function is suppressed on Fermi surface. Now let us only keep the d-wave channel.

$$\frac{H}{N} = \frac{1}{N} \sum_{\mathbf{k}} \begin{pmatrix} C_{\mathbf{k}\uparrow}^+ & C_{-\mathbf{k}\downarrow} \end{pmatrix} \begin{pmatrix} \epsilon_{\mathbf{k}} - \mu & \Delta_d (c_{\mathbf{k}_x} - c_{\mathbf{k}_y}) \\ \Delta_d^* (c_{\mathbf{k}_x} - c_{\mathbf{k}_y}) & -(\epsilon_{\mathbf{k}} - \mu) \end{pmatrix} \begin{pmatrix} C_{\mathbf{k}\uparrow} \\ C_{-\mathbf{k}\downarrow}^+ \end{pmatrix}$$

$$+ \frac{1}{N} \sum_{\mathbf{k}} (\epsilon_{\mathbf{k}} - \mu) + \frac{1}{V} \Delta_d^* \Delta_d$$

Introducing Bogoliubov transformation, and assume Δ_d is real

$$\begin{pmatrix} C_{\mathbf{k}\uparrow} \\ C_{-\mathbf{k}\downarrow}^+ \end{pmatrix} = \begin{pmatrix} \cos \theta_{\mathbf{k}} & -\sin \theta_{\mathbf{k}} \\ \sin \theta_{\mathbf{k}} & \cos \theta_{\mathbf{k}} \end{pmatrix} \begin{pmatrix} \alpha_{\mathbf{k}\uparrow} \\ \beta_{-\mathbf{k}\downarrow}^+ \end{pmatrix}$$

we have

$$\rightarrow \begin{pmatrix} \alpha_{\mathbf{k}\uparrow}^+ & \beta_{-\mathbf{k}\downarrow}^+ \end{pmatrix} \underbrace{\begin{pmatrix} \cos \theta_{\mathbf{k}} & \sin \theta_{\mathbf{k}} \\ -\sin \theta_{\mathbf{k}} & \cos \theta_{\mathbf{k}} \end{pmatrix} \begin{pmatrix} \xi_{\mathbf{k}} & \Delta(k) \\ \Delta(k) & -\xi_{\mathbf{k}} \end{pmatrix} \begin{pmatrix} \cos \theta_{\mathbf{k}} & -\sin \theta_{\mathbf{k}} \\ \sin \theta_{\mathbf{k}} & \cos \theta_{\mathbf{k}} \end{pmatrix}}_{\Downarrow} \begin{pmatrix} \alpha_{\mathbf{k}\uparrow} \\ \beta_{-\mathbf{k}\downarrow}^+ \end{pmatrix}$$

$$= \begin{bmatrix} \xi_{\mathbf{k}} \cos 2\theta_{\mathbf{k}} + \Delta(k) \sin 2\theta_{\mathbf{k}}, & -\xi_{\mathbf{k}} \sin 2\theta_{\mathbf{k}} + \Delta(k) \cos 2\theta_{\mathbf{k}} \\ -\xi_{\mathbf{k}} \sin 2\theta_{\mathbf{k}} + \Delta(k) \cos 2\theta_{\mathbf{k}}, & -\xi_{\mathbf{k}} \cos 2\theta_{\mathbf{k}} - \Delta(k) \sin 2\theta_{\mathbf{k}} \end{bmatrix}$$

$$(\xi_{\mathbf{k}} = \epsilon_{\mathbf{k}} - \mu, \quad \Delta(k) = \Delta_d (c_{\mathbf{k}_x} - c_{\mathbf{k}_y}))$$

Set $\tan 2\theta_{\mathbf{k}} = \frac{\Delta(k)}{\xi_{\mathbf{k}}}$ $\cos 2\theta_{\mathbf{k}} = \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}}$, $\sin 2\theta_{\mathbf{k}} = \frac{\Delta(k)}{E_{\mathbf{k}}}$

with $E_{\mathbf{k}} = \sqrt{\xi_{\mathbf{k}}^2 + \Delta^2(k)}$

$$\Rightarrow \frac{H}{N} = \frac{1}{N} \sum_{\mathbf{k}} E_{\mathbf{k}} \left[(\alpha_{\mathbf{k}\uparrow}^{\dagger} \alpha_{\mathbf{k}\uparrow} - 1/2) + (\beta_{\mathbf{k}\downarrow}^{\dagger} \beta_{\mathbf{k}\downarrow} - 1/2) \right] + \frac{1}{N} \sum_{\mathbf{k}} (\epsilon_{\mathbf{k}} - \mu) + \frac{1}{V} |\Delta_d|^2,$$

$$\cos^2 \theta_{\mathbf{k}} = \frac{1}{2} \left(1 + \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right), \quad \sin^2 \theta_{\mathbf{k}} = \frac{1}{2} \left(1 - \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right).$$

§ Self-consistency.

$$\frac{F}{N} = \frac{1}{N} \sum_{\mathbf{k}} -\frac{2}{\beta} \ln \left(e^{\frac{\beta}{2} E_{\mathbf{k}}} + e^{-\frac{\beta}{2} E_{\mathbf{k}}} \right) + \frac{1}{N} \sum_{\mathbf{k}} (\epsilon_{\mathbf{k}} - \mu) + \frac{\Delta_d^2}{V}$$

$$= -\frac{2}{\beta} \int_{\text{FBZ}} \frac{d^2 \mathbf{k}}{(2\pi)^2} \ln 2 \cosh \frac{\beta}{2} E_{\mathbf{k}} + \frac{\Delta_d^2}{V} + \text{const}$$

$$\frac{\partial F}{\partial \Delta_d} = -\frac{2}{\beta} \int_{\text{FBZ}} \frac{d^2 \mathbf{k}}{(2\pi)^2} \frac{\sinh \frac{\beta}{2} E_{\mathbf{k}}}{\cosh \frac{\beta}{2} E_{\mathbf{k}}} \cdot \frac{\beta}{2} \frac{\Delta_d (\cos k_x - \cos k_y)^2}{E_{\mathbf{k}}} + \frac{2\Delta_d}{V} = 0$$

$$\Rightarrow \Delta_d = V \int_{\text{FBZ}} \frac{d^2 \mathbf{k}}{(2\pi)^2} \frac{\Delta_d (\cos k_x - \cos k_y)^2}{2 E_{\mathbf{k}}} \tanh \frac{\beta}{2} E_{\mathbf{k}} \quad \leftarrow \text{Gap equation "d-wave"}$$

$$n = -\frac{1}{N} \frac{\partial F}{\partial \mu} \Rightarrow \frac{1}{N} \frac{\partial F}{\partial \mu} = -\frac{2}{\beta} \int_{\text{FBZ}} \frac{d^2 \mathbf{k}}{(2\pi)^2} \tanh \frac{\beta}{2} E_{\mathbf{k}} \cdot \frac{\beta}{2} \frac{-\xi_{\mathbf{k}}}{E_{\mathbf{k}}} - 1 = -n$$

$$\Rightarrow 1 - n = \int_{\text{FBZ}} \frac{d^2 \mathbf{k}}{(2\pi)^2} \tanh \frac{\beta}{2} E_{\mathbf{k}} \cdot \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \quad \leftarrow \text{particle number}$$

Gap equation: Gf. the general form of gap equation:

$$\Delta(\mathbf{k}) = \int_{\text{FBZ}} \frac{d^2 \mathbf{k}'}{(2\pi)^d} V(\mathbf{k}, \mathbf{k}') \frac{\Delta(\mathbf{k}')}{2\sqrt{\xi^2 + \Delta^2(\mathbf{k}')}} \tanh \frac{\beta}{2} \sqrt{\xi^2 + \Delta^2(\mathbf{k}')}$$

plug in $\Delta(\mathbf{k}) = \Delta_d (\cos k_x - \cos k_y), \quad V(\mathbf{k}, \mathbf{k}') = V (\cos k_x - \cos k_y) (\cos k'_x - \cos k'_y)$

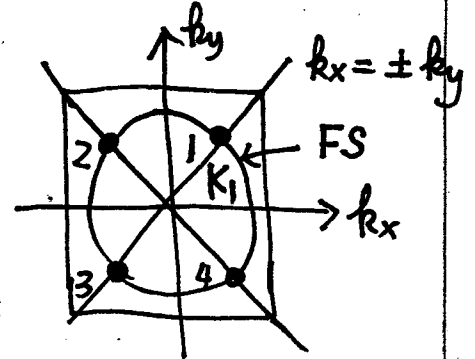
we will get the d-wave gap equation.

⊗ Dirac spectra (nodal quasi-particle)

$$\pm E_k = \pm \sqrt{\xi_k^2 + \Delta^2(k)} : \xi_k = 0 \text{ (Fermi surface)}$$

$$\Delta(k) = 0 \text{ gap nodal line}$$

Zeros of E_k : crossing points of gap nodal lines and Fermi surface. There are nodal points.

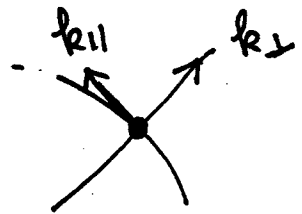


"d"-wave superconductivity is NOT fully gapped, but gapless. The nodal quasi-particles dominates over the low energy thermodynamic properties!

Let us linearize the d-wave Hamiltonian around one of the nodes,

Say, node 1.

$$\left\{ \begin{aligned} \xi_k &= \hbar v_F \delta k_{\perp} \\ \Delta(k) &= \Delta_d \delta k_{\parallel} \end{aligned} \right.$$



where $\delta k_{\perp} = \frac{\delta k_x + \delta k_y}{\sqrt{2}}$ and $\delta \vec{k} = \vec{k} - \vec{K}_1$

$$\delta k_{\parallel} = \frac{-\delta k_x + \delta k_y}{\sqrt{2}}$$

$$H(k) = \begin{pmatrix} \xi_k & \Delta(k) \\ \Delta(k) & -\xi_k \end{pmatrix} = \hbar v_F \delta k_{\perp} \tau_z + \Delta_d \delta k_{\parallel} \tau_x$$

Thermodynamics of nodal superconductors (singlet) ① 2D-d-wave

In this lecture, we will study new features associated with the nodal quasi-particles of the d-wave superconductors. The d-wave gap equation can be solved analytically in the continuum approximation:

① Assume $\xi_{\mathbf{k}}$ is isotropic, i.e. independent of the azimuthal angle $\varphi_{\mathbf{k}}$

$\int \frac{d^2k}{(2\pi)^2} \rightarrow \int \frac{d\varphi}{2\pi} \int_{-\omega_0}^{\omega_0} d\xi \rho_0(\xi)$, where $\rho_0(\xi)$ is the density of states. If $\rho_0(\xi)$ does not have singularity, it can be replaced by N_F , i.e. the DOS right at Fermi surface. ω_0 is the cut off, which plays the role of Debye frequency in conventional SC. In high T_c , the origin of ω_0 is still in debate, most probably, it arises from antiferromagnetic fluctuations.

② We replace the lattice version of the angular form factor $\cos k_x - \cos k_y$ by $\cos 2\varphi_{\mathbf{k}}$, which has the same $dx^2 - y^2$ symmetry. An issue is the normalization, which can be absorbed in the definition of Δ_d and V . Say, $\cos k_x - \cos k_y \sim C \cdot \cos 2\varphi_{\mathbf{k}}$

$$\Rightarrow \int_0^{2\pi} \frac{d\varphi}{2\pi} \int_0^{\omega_0} d\xi \frac{C^2 \cos^2 2\varphi_{\mathbf{k}}}{\sqrt{\xi^2 + \Delta_d^2 C^2 \cos^2 2\varphi_{\mathbf{k}}}} \tanh \frac{\beta}{2} \sqrt{\xi^2 + \Delta_d^2 C^2 \cos^2 2\varphi_{\mathbf{k}}} = \frac{1}{N_F V}$$

We can define $\frac{1}{VC^2} \rightarrow \frac{1}{V}$ and $\Delta_d^2 C^2 \rightarrow \Delta_d^2$, we have

$$\int_0^{2\pi} \frac{d\varphi}{2\pi} \int_0^{\omega_0} d\xi \frac{\cos^2 2\varphi}{\sqrt{\xi^2 + \Delta_d^2 \cos^2 2\varphi}} \tanh \frac{\beta}{2} \sqrt{\xi^2 + \Delta_d^2 \cos^2 2\varphi} = \frac{1}{N_F V}$$

* Solve T_c

we have around T_c , $\Rightarrow \int_0^{\frac{\omega_0}{2k_B T_c}} dx \frac{\tanh x}{x} = \frac{2}{N_F V}$

($x = \frac{\beta_c}{2} \xi$, the $\frac{1}{2}$ factor on RHS comes from $\int \frac{d\varphi}{2\pi} \delta\varphi^2 = \frac{1}{2}$).

Integral by part \Rightarrow LHS = $\ln \frac{\omega_0}{2k_B T_c} \tanh \frac{\omega_0}{2k_B T_c} - \int_0^{\frac{\omega_0}{2k_B T_c}} dx \ln x \operatorname{sech}^2 x$

define $C_0 = \frac{1}{2} \exp \left[- \int_0^{\infty} dx \frac{\ln x}{\cosh^2 x} \right]$

= 1.134

$\Rightarrow k_B T_c \approx C_0 \omega_0 e^{-\frac{2}{N_F V}}$

Because ω_0, V are difficult to know, this equation does not tell much useful information!

* Solve gap value at $T=0$,

$\beta \rightarrow \infty$, $\tanh \frac{\beta}{2} E \rightarrow 1$, we have

$$\int_0^{2\pi} \frac{d\varphi}{2\pi} \int_0^{\omega_0} d\xi \frac{\cos^2 \varphi}{\sqrt{\xi^2 + \Delta_d^2 \cos^2 \varphi}} = \frac{1}{N_F V}$$

$$\int dx \frac{1}{\sqrt{x^2 + a^2}} = \ln(x + \sqrt{x^2 + a^2}) + C$$

$$\Rightarrow \int_0^{\omega_0} d\xi \frac{1}{\sqrt{\xi^2 + \Delta_d^2 \cos^2 \varphi}} = \ln(\xi + \sqrt{\xi^2 + \Delta_d^2 \cos^2 \varphi}) \Big|_0^{\omega_0} = \ln \frac{\omega_0 + \sqrt{\omega_0^2 + \Delta_d^2 \cos^2 \varphi}}{\Delta_d |\cos \varphi|}$$

$$\Rightarrow \int_0^{2\pi} d\varphi \cos^2 \varphi \ln \frac{\omega_0 + \sqrt{\omega_0^2 + \Delta_d^2 \cos^2 \varphi}}{\Delta_d |\cos \varphi|} = \frac{\pi}{N_F V}$$

consider the case of $\omega_0 \gg \Delta_d$; we can approximate the integral as

$$\int_0^{\pi} d\varphi \cos^2 2\varphi \ln \frac{2\omega_0}{\Delta_d |\cos 2\varphi|} \simeq \frac{\pi}{N_F V}$$

$$\Rightarrow \frac{\pi}{2} \ln \frac{2\omega_0}{\Delta_d} = \frac{\pi}{N_F V} + \int_0^{\pi} d\varphi \cos^2 2\varphi \ln |\cos 2\varphi|$$

$\leftarrow 2 \int_0^{\pi/2} d\varphi \cos^2 \varphi \ln \cos \varphi$

$$\Rightarrow \frac{2\omega_0}{\Delta_d} = \frac{2}{N_F V} + \frac{4}{\pi} \int_0^{\pi/2} d\varphi \cos^2 \varphi \ln \cos \varphi$$

$$\Rightarrow \boxed{\Delta_d = C_1 \omega_0 e^{-\frac{2}{N_F V}}}, \text{ where } C_1 = 2 \cdot \exp\left[-\frac{4}{\pi} \int_0^{\pi/2} d\varphi \cos^2 \varphi \ln \cos \varphi\right]$$

$\simeq 2.426$

We arrive the relation between gap and T_c .

$$\boxed{\frac{2\Delta_d}{k_B T_c} \simeq 4.28}$$

which is slightly higher than the s-wave value 3.53.

§ DOS in d-wave superconductor

$$\begin{aligned} \rho(\omega) &= \frac{2}{\text{Vol}} \sum_{\mathbf{k}} \left(u_{\mathbf{k}}^2 \delta(\omega - E_{\mathbf{k}}) + v_{\mathbf{k}}^2 \delta(\omega + E_{\mathbf{k}}) \right) \\ &= 2 \int \frac{d^2 k}{(2\pi)^2} \frac{1}{2} \left[\left(1 + \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right) \delta(\omega - E_{\mathbf{k}}) + \left(1 - \frac{\xi_{\mathbf{k}}}{E_{\mathbf{k}}} \right) \delta(\omega + E_{\mathbf{k}}) \right] \\ &= \int \frac{d\varphi}{2\pi} \int d\xi \frac{N_F}{2} \left[\left(1 + \frac{\xi}{E} \right) \delta(\omega - E) + \left(1 - \frac{\xi}{E} \right) \delta(\omega + E) \right] \end{aligned}$$

\leftarrow odd function

consider $\omega > 0 \Rightarrow \rho(\omega) = \int \frac{d\varphi}{2\pi} \int d\xi N_F \left(1 + \frac{\xi}{E} \right) \delta(\omega - E)$

$$= \int \frac{d\varphi}{2\pi} \int d\xi \frac{N_F}{2} \delta\left(\omega - \sqrt{\xi^2 + \Delta_d^2 \cos^2 2\varphi}\right)$$

The solution of $\omega^2 = \xi^2 + \Delta_d^2 \cos^2 2\varphi \Rightarrow \xi = \pm \sqrt{\omega^2 - \Delta_d^2 \cos^2 2\varphi}$

$$\Rightarrow \delta(\omega - E) = \frac{\delta(\xi - \sqrt{\omega^2 - \Delta_d^2 \cos^2 2\varphi}) + \delta(\xi + \sqrt{\omega^2 - \Delta_d^2 \cos^2 2\varphi})}{|\xi| \sqrt{\xi^2 + \Delta_d^2 \cos^2 2\varphi}}$$

$$\delta(\omega - E) = \frac{\omega}{\sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}} \left[\delta(\xi - \sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}) + \delta(\xi + \sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}) \right]$$

$$\begin{aligned} P(\omega) &= \frac{N_F}{2} \int \frac{d\varphi}{2\pi} \int d\xi \frac{\omega}{\sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}} \left[\delta(\xi - \sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}) + \delta(\xi + \sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}) \right] \\ &= N_F \int_0^{2\pi} \frac{d\varphi}{2\pi} \frac{\omega}{\sqrt{\omega^2 - \Delta^2 \cos^2 2\varphi}} \Theta(\omega > |\Delta \cos 2\varphi|) \\ &= N_F \frac{1}{2} \int \frac{d\varphi'}{2\pi} \frac{\omega}{\sqrt{\omega^2 - \Delta^2 \cos^2 \varphi'}} \Theta(\omega > |\Delta \cos \varphi'|) = \frac{2N_F}{\pi} \int_0^{\pi/2} \frac{\omega}{\sqrt{\omega^2 - \Delta^2 \cos^2 \varphi'}} \Theta(\dots) \end{aligned}$$

① if $\omega > \Delta \Rightarrow P(\omega) = \frac{2N_F}{\pi} \int_0^{\pi/2} \frac{1}{\sqrt{1 - (\Delta/\omega)^2 \cos^2 \varphi'}} = \frac{2N_F}{\pi} \int_0^{\pi/2} \frac{1}{\sqrt{1 - (\Delta/\omega)^2 \sin^2 \varphi'}}$

This is the complete Elliptic integral of 1st kind.

as $\Delta/\omega \rightarrow 1$, we have $P(\omega) \simeq \frac{N_F}{\pi} \ln \frac{\delta}{1 - \Delta/\omega}$
 as $\Delta/\omega \rightarrow \infty$ $P(\omega) = N_F$

② if $\omega < \Delta$ define $\cos \phi = \frac{\Delta}{\omega} \cos \varphi' \Rightarrow \sin \phi d\phi = \frac{\Delta}{\omega} \sin \varphi' d\varphi'$

$$P(\omega) = \frac{2N_F}{\pi} \int_0^{\pi/2} \left(\frac{\Delta}{\omega} \right)^{-1} \frac{\sin \phi}{\sin \varphi'} d\phi \cdot \frac{1}{\sqrt{1 - \cos^2 \phi}}$$

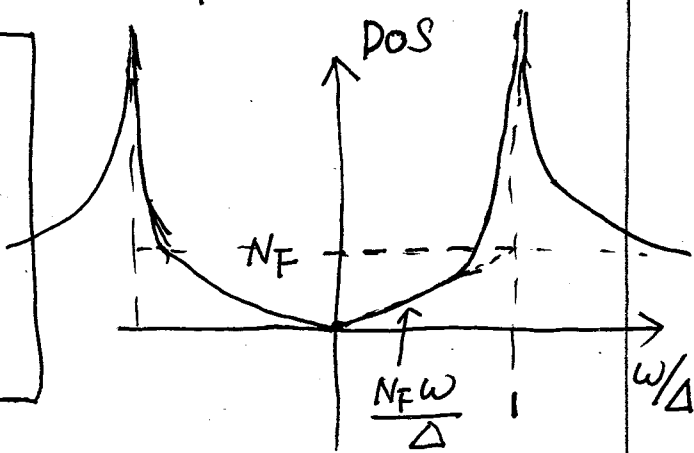
$$\frac{\omega}{\Delta} \frac{2N_F}{\pi} \int_0^{\pi/2} \frac{d\phi}{\sin \varphi'} \leftarrow \sin \varphi' = \sqrt{1 - \cos^2 \varphi'} = \sqrt{1 - \left(\frac{\omega}{\Delta}\right)^2 \cos^2 \phi}$$

$$= \frac{\omega}{\Delta} \frac{2N_F}{\pi} \int_0^{\pi/2} d\phi \frac{1}{\sqrt{1 - \left(\frac{\omega}{\Delta}\right)^2 \cos^2 \phi}} = \frac{\omega}{\Delta} \frac{2N_F}{\pi} \int_0^{\pi/2} \frac{1}{\sqrt{1 - \left(\frac{\omega}{\Delta}\right)^2 \sin^2 \phi}}$$

as $\omega \rightarrow \Delta$

$$P(\omega) \rightarrow \frac{N_F \omega}{\pi \Delta} \ln \frac{\delta}{1 - \omega/\Delta}$$

as $\omega \rightarrow 0$ $P(\omega) \rightarrow \frac{N_F \omega}{\Delta}$



§ Specific heat

← Vol = Na²
a: lattice constant

The free energy density $\frac{F(T)}{Vol} = -k_B T \ln Z$

$$\frac{F(T)}{Vol} = -k_B T \frac{1}{Vol} \sum_{\mathbf{k}} 2 \ln \left(e^{-\frac{1}{2}\beta E_{\mathbf{k}}} + e^{\frac{1}{2}\beta E_{\mathbf{k}}} \right) + \frac{\Delta_d^2}{V}$$

$$= -k_B T \int_{FBZ} \frac{d^2k}{(2\pi)^2} 2 \ln 2 \cosh \frac{\beta}{2} E_{\mathbf{k}} + \frac{\Delta_d^2}{V}$$

$$\frac{S}{Vol} = -\frac{\partial F}{Vol \partial T} = k_B \int_{FBZ} \frac{d^2k}{(2\pi)^2} 2 \ln 2 \cosh \frac{\beta}{2} E_{\mathbf{k}} + k_B T \int_{FBZ} \frac{d^2k}{(2\pi)^2} 2 \tanh \frac{\beta}{2} E_{\mathbf{k}} \frac{\partial}{\partial T} \left(\frac{\beta E_{\mathbf{k}}}{2} \right) - 2 \frac{\Delta_d}{V} \frac{\partial \Delta_d}{\partial T}$$

gap Eq: $\frac{\Delta_d}{V} = \int_{FBZ} \frac{d^2k}{(2\pi)^2} \frac{\Delta_d (\cos k_x - \cos k_y)^2}{2 E_{\mathbf{k}}} \tanh \frac{\beta}{2} E_{\mathbf{k}}$

$$\frac{\partial}{\partial T} \left(\frac{\beta}{2} E_{\mathbf{k}} \right) = \frac{-1}{2 k_B T^2} E_{\mathbf{k}} + \frac{\beta}{2} \frac{\Delta_d (\cos k_x - \cos k_y)^2}{E_{\mathbf{k}}} \frac{\partial \Delta_d}{\partial T}$$

$$\Rightarrow \frac{S}{Vol} = 2 k_B \int_{FBZ} \frac{d^2k}{(2\pi)^2} \ln \left(2 \cosh \frac{\beta}{2} E_{\mathbf{k}} \right) - 2 k_B \int_{FBZ} \frac{d^2k}{(2\pi)^2} \tanh \frac{\beta}{2} E_{\mathbf{k}} \frac{\beta E_{\mathbf{k}}}{2} \quad (\text{other term cancels})$$

Ex: check $\frac{S}{Vol}$ can also be written as

$$\frac{S}{Vol} = -2 k_B \sum_{\mathbf{k}} \left[(1-f_{\mathbf{k}}) \ln(1-f_{\mathbf{k}}) + f_{\mathbf{k}} \ln f_{\mathbf{k}} \right]$$

with $f_{\mathbf{k}} = \frac{1}{e^{\beta E_{\mathbf{k}}} + 1}$. Check it's consistent with the above Eq.

$$\frac{C}{Vol} = T \frac{\partial S}{\partial T} = -\beta \frac{\partial S}{\partial \beta} = 2 \beta k_B \frac{1}{Vol} \sum_{\mathbf{k}} \frac{\partial f_{\mathbf{k}}}{\partial \beta} \ln \frac{f_{\mathbf{k}}}{1-f_{\mathbf{k}}} = -2 \beta^2 k_B \frac{1}{Vol} \sum_{\mathbf{k}} E_{\mathbf{k}} \frac{\partial f_{\mathbf{k}}}{\partial \beta}$$

$$= -2 \beta^2 k_B \frac{1}{Vol} \sum_{\mathbf{k}} E_{\mathbf{k}} \frac{df_{\mathbf{k}}}{d(\beta E_{\mathbf{k}})} \left(\frac{d(\beta E_{\mathbf{k}})}{d\beta} \right) \leftarrow \frac{d(\beta E_{\mathbf{k}})}{d\beta} = E_{\mathbf{k}} + \beta \frac{dE_{\mathbf{k}}}{d\beta}$$

$$= 2 \beta k_B \frac{1}{Vol} \sum_{\mathbf{k}} \left(-\frac{\partial f_{\mathbf{k}}}{\partial E_{\mathbf{k}}} \right) \left(E_{\mathbf{k}}^2 + \frac{1}{2} \beta \frac{dE_{\mathbf{k}}^2}{d\beta} \right)$$

$$\frac{C}{Vol} = 2k_B \int \frac{dk}{(2\pi)^2} \frac{e^{\beta E_k}}{(e^{\beta E_k} + 1)^2} \left(\frac{E_k^2}{k_B^2 T^2} - \frac{1}{2} \frac{dE_k^2}{k_B^2 T dT} \right)$$

① Next we consider low T limit.

Now we use continuum approx: $E_k^2 = \xi^2 + \Delta_d^2 \cos^2 2\varphi_k$

$$\frac{E_k^2}{k_B^2 T^2} = \frac{\xi^2 + \Delta_d^2(T) \cos^2 2\varphi_k}{k_B^2 T^2}$$

$$\frac{E_k dE_k}{k_B^2 T dT} = \frac{\Delta_d(T)}{k_B T} \frac{d\Delta_d(T)}{k_B dT} \cos^2 2\varphi_k = \frac{\Delta_d^2(T)}{(k_B T)^2} \cos^2 2\varphi_k \left(\frac{k_B T}{\Delta_d(T)} \right)^3$$

because at $T \ll \Delta(T)$, $\frac{d\Delta(T)}{k_B dT} \approx \frac{k_B T^2}{\Delta_d^2(T)}$ (for d-wave),

we can neglect the contribution from the second term.

$$\Rightarrow \text{at } T \ll \Delta, \quad \frac{C}{k_B} = \frac{1}{k_B^2 T^2} N_F \int \frac{d\varphi}{2\pi} \int d\xi \frac{e^{\beta E}}{(e^{\beta E} + 1)^2} E^2$$

$$= \frac{N_F}{4 k_B^2 T^2} \int \frac{d\varphi}{2\pi} \int_{-\infty}^{+\infty} d\xi \frac{E^2}{\cosh^2(E/2T)}$$

The factor $\cosh^2 E/2T$ suppresses the contribution except from the nodal region:

$$|\xi| > |\Delta| |\cos 2\varphi|. \Rightarrow \Delta\varphi \sim |\varphi - \pi/4| \ll \frac{|\xi|}{2|\Delta|}$$

consider there're four nodes,

$$\frac{C}{k_B} = \frac{N_F}{k_B^2 T^2} \int_{-\infty}^{+\infty} d\xi \frac{\xi^2}{\cosh^2(\xi/2T)} \int_{-\frac{|\xi|}{2|\Delta|}}^{\frac{|\xi|}{2|\Delta|}} d\varphi + o(e^{-4/T})$$

$$\approx \frac{N_F}{k_B^2 T^2} \frac{1}{\Delta} \int_{-\infty}^{+\infty} d\xi \frac{|\xi|^3}{\cosh^2 \xi/2T k_B} \quad \text{defin } \chi = \frac{\xi}{2T k_B}$$

$$\frac{C}{k_B} \approx \frac{2^5 N_F k_B^2 T^2}{\Delta} \int_0^{+\infty} d\chi \frac{\chi^3}{\cosh^2 \chi} \approx \text{const.} \frac{N_F (k_B T)^2}{\Delta}$$

(7)

The low temperature specific heat in 2D nodal SC

$$\frac{C}{k_B} \simeq \text{const.} \frac{N_F (k_B T)^2}{\Delta_d}, \quad \text{which is}$$

Consistent with the low energy DOS $\simeq N_F \frac{\omega}{\Delta_d}$

Paramagnetic susceptibility / Knight shift

Consider the pairing sector $k \uparrow$ and $-k \downarrow$. The Hilbert space is 4-dimensional: $| \uparrow \downarrow \rangle$, $\alpha_{k \uparrow}^{\dagger} | \uparrow \downarrow \rangle$, $\beta_{-k \downarrow}^{\dagger} | \uparrow \downarrow \rangle$, $\alpha_{k \uparrow}^{\dagger} \beta_{-k \downarrow}^{\dagger} | \uparrow \downarrow \rangle$.

The partition function $1 + e^{-\beta E_k} + e^{-\beta E_k} + e^{-2\beta E_k} = (1 + e^{-\beta E_k})^2$

if adding external field, $E_{\alpha_{k \uparrow}} = E_k - \mu_B H$

$$E_{\beta_{-k \downarrow}} = E_k + \mu_B H$$

$$\Rightarrow M = \mu_B \sum_k \frac{e^{-\beta(E_k - \mu_B H)}}{(1 + e^{-\beta E_k})^2} - \frac{e^{-\beta(E_k + \mu_B H)}}{(1 + e^{-\beta E_k})^2}$$

we neglect the dependence on H in the denominator at the linear order of H

$$\Rightarrow \chi = \frac{\partial M}{\partial H} = \mu_B^2 \sum_k \frac{e^{-\beta E_k}}{(1 + e^{-\beta E_k})^2} (2\beta)$$

$$\Rightarrow \frac{\chi}{\text{Vol}} = \beta \mu_B^2 N_F \int d\zeta \int \frac{d\varphi}{2\pi} \frac{1}{(e^{-\beta E/2} + e^{\beta E/2})^2}$$

$$\frac{2 \sum_k}{\text{Vol}} \rightarrow N_F \int d\zeta \int \frac{d\varphi}{2\pi}$$

Define Yoshida function $Y(\varphi, T) = \frac{\beta}{4} \int_{-\infty}^{+\infty} \frac{d\zeta}{(\cosh \frac{E(\varphi)}{2T})^2}$

$$\Rightarrow \frac{\chi}{\text{Vol}} = \mu_B^2 N_F \int \frac{d\varphi}{2\pi} Y(\varphi, T)$$

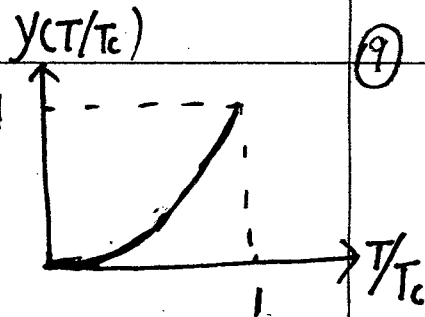
For the s-wave case, $\frac{\chi}{\chi_n} = \frac{\beta}{4} \int_{-\infty}^{+\infty} \frac{d\zeta}{[\cosh(\frac{\zeta^2 + \Delta^2}{2T})^{1/2}]^2} = \frac{\beta}{2} \int_0^{+\infty} d\zeta \text{sech}^2 \frac{\beta E}{2}$

$$= Y(T) \leftarrow \text{isotropic case}$$

at $T = T_c$, $Y(1) = \int_0^{+\infty} \text{sech}^2 x dx = 1$

$T \ll \Delta$, $Y(T/\Delta)$ is suppressed exponentially.

$$\sim e^{-\Delta/T}$$



Now let us consider the d-wave case:

$$\frac{\chi}{\chi_n} = \int_0^{+\infty} d\xi \frac{\beta}{2} \frac{1}{\cosh^2\left(\frac{\xi}{2T}\right)} \int_{-\frac{\xi}{2\Delta}}^{\frac{\xi}{2\Delta}} d\varphi$$

← the low T contribution from $\xi > \Delta \cos 2\varphi$

$$\approx \int_0^{+\infty} d\xi \frac{1}{2k_B T} \frac{\xi}{\Delta} \frac{1}{\cosh^2\left(\frac{\xi}{2k_B T}\right)}$$

$\Rightarrow |\varphi| \ll \frac{\xi}{2\Delta}$

define $\chi = \frac{\xi}{2k_B T}$

$$\Rightarrow \frac{\chi}{\chi_n} \sim \frac{2k_B T}{\Delta} \int_0^{+\infty} dx \frac{\chi}{\cosh^2(x)} \approx \text{const.} \frac{k_B T}{\Delta}$$

This is also consistent with the low T Dos $\sim N_F \frac{\omega}{\Delta}$.

χ can be measured through NMR knight shift. The NMR

frequency of nuclear in solids is different from that

in vacuum: $B_{\text{eff}} = B_{\text{ex}} + B_{\text{mol}}$; and $B_{\text{mol}} \propto M = B_{\text{ex}} \chi$.

From the frequency shift (Knight shift), we can infer the magnetic susceptibility of the environment, i.e. electronic structure.