

## § General framework

Each atom has its equilibrium position,  $\vec{r}_i^0$ , and its derivation from its equilibrium position is denoted as  $\vec{u}_i = \vec{r}_i - \vec{r}_i^0$ , where  $i$  is the index of atom. The potential

$$V = V_0 + \frac{1}{2} \sum_{i,i'} \sum_{\alpha\beta} \frac{\partial^2 V}{\partial u_{\alpha}(i) \partial u_{\beta}(j)} u_{\alpha}(i) u_{\beta}(j) + \dots$$

Define the reduced displacement

$$W_{\alpha}(i) = (m_i)^{1/2} u_{\alpha}(i), \text{ and } D(i,i')_{\alpha\beta} = (m_i m_{i'})^{1/2} \phi_{\alpha\beta}(ij)$$

where  $\phi_{\alpha\beta}(ij) = \frac{\partial^2 V}{\partial u_{\alpha}(i) \partial u_{\beta}(j)}$

Then 
$$H = \frac{1}{2} \sum_i \sum_{\alpha} P_{\alpha}^2(i) + \frac{1}{2} \sum_{i,i'} \sum_{\alpha\beta} D(i,i')_{\alpha\beta} W_{\alpha}(i) W_{\beta}(i')$$

where  $P_{\alpha}(i) = \sqrt{m_i} \dot{u}_{\alpha}(i)$ , which conjugates to  $W_{\alpha}(i)$ .

Then 
$$L = T - V = \frac{1}{2} \sum_{i,\alpha} \dot{W}_{\alpha}^2(i) - \frac{1}{2} \sum_{i,i'} \sum_{\alpha\beta} D(i,i')_{\alpha\beta} W_{\alpha}(i) W_{\beta}(i')$$

$$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{W}_{\alpha}(i)} \right) = \frac{\partial L}{\partial W_{\alpha}(i)} \Rightarrow \dot{W}_{\alpha}(i) = - \sum_{i'\beta} D(i,i')_{\alpha\beta} W_{\beta}(i')$$

try solution  $W_{\alpha}(i) = e_{\alpha}(i) e^{-i\omega t}$ , plug in

$$\Rightarrow \omega^2 e_{\alpha}(i) = \sum_{i'\beta} D(i,i')_{\alpha\beta} e_{\beta}(i')$$

dynamic equation  
 $\omega^2$  is a positive  
 eigenvalue of  $D_{\alpha\beta}(i,i')$

and  $e_{\alpha}(i)$  is eigenvector

### { Normal modes

There exist  $3N$  solutions denoted as  $e_\alpha(i|j)$ , where  $j=1, \dots, 3N$ .  
 $e_\alpha(i|j)$  satisfies ortho-normal condition and the completeness.

$$\sum_{i,\alpha} e_\alpha^*(i|j) e_\alpha(i|j') = \delta_{jj'}$$

$$\sum_j e_\alpha^*(i|j) e_\beta(i'|j) = \delta_{\alpha\beta} \delta_{ii'}$$

← basis for canonical modes

### Canonical coordinates

$$W_\alpha(i) = \sum_{j=1}^{3N} e_\alpha(i|j) q_j \quad \leftarrow \text{define } P_j = \dot{q}_j$$

$$P_\alpha(i) = \dot{W}_\alpha(i) = \sum_{j=1}^{3N} e_\alpha(i|j) \dot{q}_j = \sum_{j=1}^{3N} e_\alpha(i|j) P_j$$

Then

$$\sum_{i,\alpha} P_\alpha^2(i) = \sum_{i,\alpha} \sum_{j,j'} e_\alpha^*(i|j) P_j^* e_\alpha(i|j') P_{j'}$$

$$= \sum_{j,j'} P_j^* P_{j'} \delta_{jj'} = \sum_j P_j^* P_j$$

$$\frac{1}{2} \sum_{i,i'} \sum_{\alpha,\beta} D_{\alpha\beta}(i,i') \underbrace{\sum_{j,j'} e_\alpha^*(i|j) q_j^*}_{W_\alpha^*(i)} e_\beta(i'|j') q_{j'}$$

↑  
real

$$= \frac{1}{2} \sum_{j,j'} q_j^* q_{j'} \sum_{i,i',\alpha,\beta} e_\alpha^*(i|j) D_{\alpha\beta}(i,i') e_\beta(i'|j')$$

$$= \frac{1}{2} \sum_{j,j'} q_j^* q_{j'} \sum_{i,\alpha} e_\alpha^*(i|j) \underbrace{\sum_{i',\beta} D_{\alpha\beta}(i,i') e_\beta(i'|j')}_{\omega_j^2 e_\alpha(i|j')}$$

$$= \frac{1}{2} \sum_{j,j'} q_j^* q_{j'} \delta_{jj'} \omega_j^2 = \frac{1}{2} \sum_j q_j^* q_j \omega_j^2$$

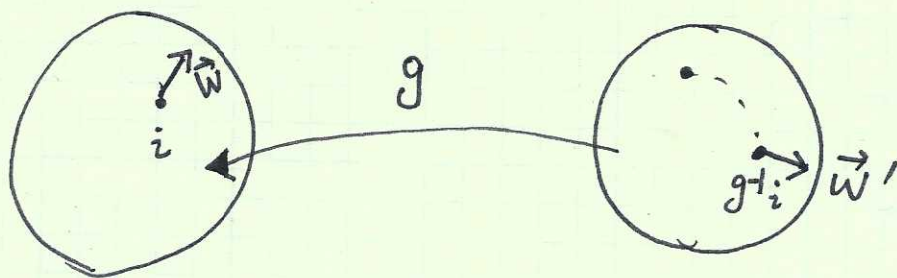
$$H = \frac{1}{2} \sum_{j=1}^{3N} P_j^* P_j + \frac{1}{2} \sum_{j=1}^{3N} \omega_j^2 q_j^* q_j$$

Since  $D_{\alpha\beta}(i, i')$  is a real symmetric matrix, it can be diagonalized by real eigenvectors. Or if  $q_j$  is complex, then  $q_j^*$  is also an eigenmode with the same energy. We can either use their real and imaginary parts as two independent but degenerate mode — linear polarized. Or we combine them as a pair of complex mode, as left/right circularly polarized modes.

### (\*) Displacement representation

The displacement vector  $W_\alpha(i)$  forms a  $3N$  dimensional <sup>space for a</sup> representation of the symmetry group of the molecule. The symmetry operation, say a rotation  $g$ . When it acts on a vector we have  $(g\hat{e})_\alpha = R_{\alpha\beta}(g)\hat{e}_\beta$ . Then its action on the displacement vector is.

$$(gW)_\alpha(i) = R_{\alpha\beta}(g) W_\beta(g^{-1}i)$$



The potential transformation

$$V' = \sum_{ii', \alpha\beta} D_{\alpha\beta}(i, i') (gW)_\alpha(i) (gW)_\beta(i')$$

$$= \sum_{ii', \alpha\beta} D_{\alpha\beta}(i, i') R_{\alpha\alpha'}(g) \omega_{\alpha'}(\bar{g}^{-1}i) R_{\beta\beta'}(g) \omega_{\beta'}(\bar{g}^{-1}i')$$

$$= \sum_{ii', \alpha\beta} R_{\alpha\alpha'}^{-1}(g) D_{\alpha\beta}(g r_i^{\circ}, g r_{i'}^{\circ}) R_{\beta\beta'}(g) \omega_{\alpha'}(r_i) \omega_{\beta'}(r_{i'})$$

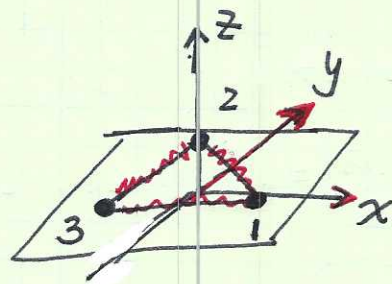
hence symmetry condition requires

$$D_{\alpha\beta}(r_i^{\circ}, r_j^{\circ}) = R_{\alpha\alpha'}^{-1}(g) D_{\alpha'\beta'}(g r_i^{\circ}, g r_j^{\circ}) R_{\beta'\beta}(g)$$

We can decompose  $D_{\alpha\beta}(r_i, r_j)$  into diagonal blocks under the help of group theory. (here  $r_i^{\circ}, r_j^{\circ}$  just indexes of the equilibrium positions of atoms).

(\*)  $D_3$  molecule -  $D_{3h}$

$$\omega_{\alpha}(i) = (x_1, y_1, z_1, x_2, y_2, z_2, x_3, y_3, z_3)^T$$



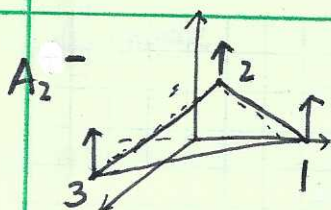
we have 9 degrees of freedom  $\leftarrow D_3$

	E	2 $C_3$	3 $C_2$
$\chi$	9	0	-1
$A_1$	1	1	1
$A_2$	1	1	-1
E	2	-1	0

$$\# A_1 = \frac{1}{6} (9 - 3) = 1$$

$$\# A_2 = \frac{1}{6} (9 + 3) = 2$$

$$\# E = \frac{1}{6} (2 \times 9) = 3$$

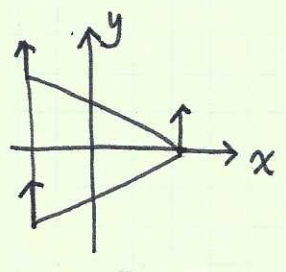
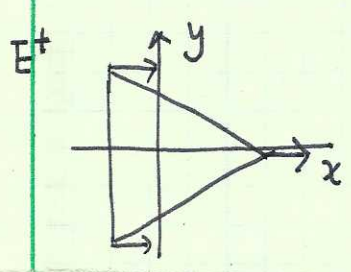


$$e_{A_2^-} = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

translation along  $\hat{z}$ -axis

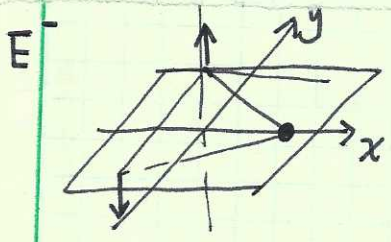
0-mode

translations along  $\hat{x}$  and  $\hat{y}$



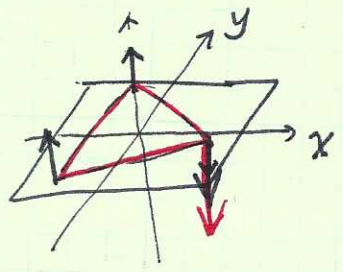
$$e_{E^+}^{(1)} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

$$e_{E^+}^{(2)} = \frac{1}{\sqrt{3}} \begin{pmatrix} 0 \\ 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$



$$e_{E^-}^{(1)} = \frac{1}{\sqrt{6}} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}$$

rotation around  $\hat{x}$



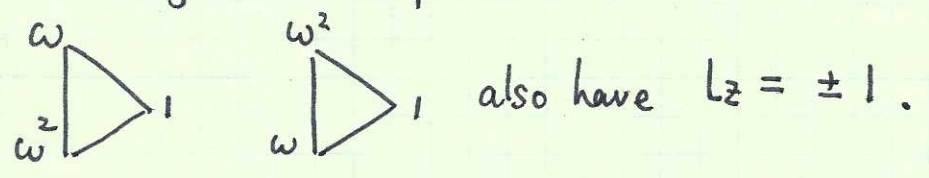
$$e_{E^-}^{(2)} = \frac{1}{\sqrt{6}} \begin{pmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 2 \\ 0 \\ 0 \end{pmatrix}$$

rotation around  $\hat{y}$

Now we have 4-planar modes left.

let us view ~~at~~ them through the angular momentum addition

For  $\hat{x} \pm i\hat{y}$  circular polarization  $L_z = \pm 1$



Now let's combine them to arrive at  $L_z = 0$ , ie  $A_1$  or  $A_2$

$$\begin{pmatrix} 1 \\ -i \\ 0 \\ \hline (1) \omega \\ (i) \omega \\ 0 \\ \hline (1) \omega^2 \\ (i) \omega^2 \\ 0 \end{pmatrix}$$

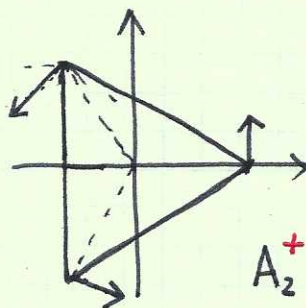
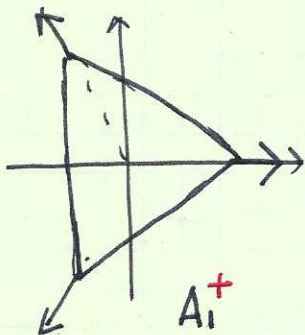
and

$$\begin{pmatrix} 1 \\ i \\ 0 \\ \hline (1) \omega^2 \\ (i) \omega \\ 0 \\ \hline (1) \omega \\ (i) \omega \end{pmatrix}$$

$\Rightarrow$  Re and Im

$$\begin{pmatrix} 1 \\ 0 \\ 0 \\ -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \\ 0 \\ -\frac{1}{2} \\ \frac{\sqrt{3}}{2} \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 \\ 1 \\ 0 \\ -\frac{1}{2}\sqrt{3} \\ -\frac{1}{2} \\ 0 \\ \frac{1}{2}\sqrt{3} \\ -\frac{1}{2} \\ 0 \end{pmatrix}$$



← rotation around  $\hat{N}$   
→ zero mode

breath mode ↔ they are not degenerate.

Further combine  $L_z = \pm 2 \equiv \mp 1 \pmod{3}$

$$\begin{pmatrix} 1 \\ -i \\ 0 \\ \hline (-i)\omega^2 \\ 0 \\ \hline (-i)\omega \\ 0 \end{pmatrix}$$

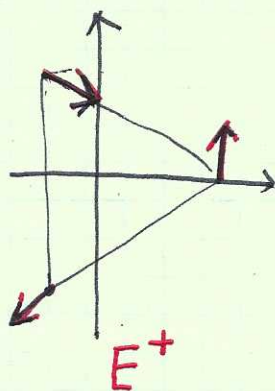
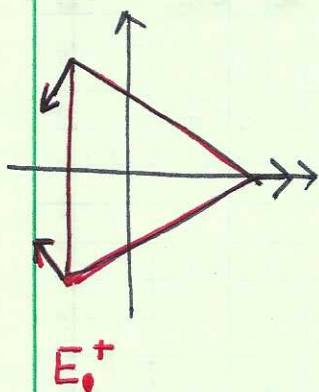
and

$$\begin{pmatrix} 1 \\ i \\ 0 \\ \hline (i)\omega \\ 0 \\ \hline (i)\omega^2 \\ 0 \end{pmatrix}$$

or its Real and imaginary parts.

$$\begin{pmatrix} 1 \\ 0 \\ 0 \\ \hline -1/2 \\ -\sqrt{3}/2 \\ 0 \\ \hline -1/2 \\ \sqrt{3}/2 \\ 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 \\ 1 \\ 0 \\ \hline \sqrt{3}/2 \\ -1/2 \\ 0 \\ \hline -\sqrt{3}/2 \\ -1/2 \\ 0 \end{pmatrix}$$



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