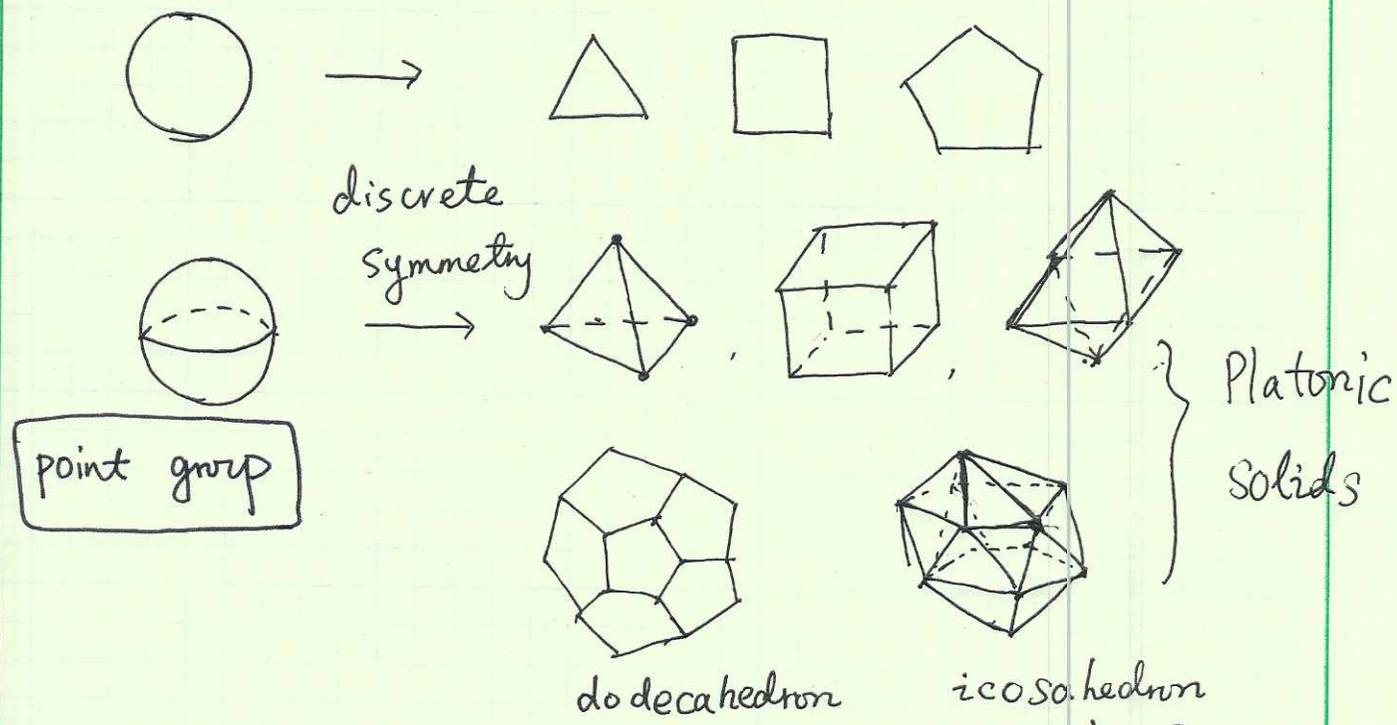


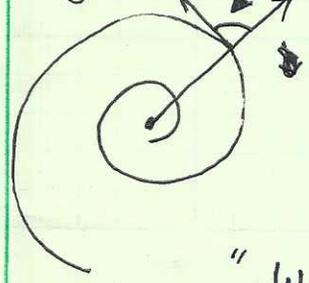
# Lect 1: The symmetry principle, group, fundamentals

§: Examples in daily life — Weyl: "Symmetry"



- crystal: 7 - crystalline systems
  - 14 - Bravais lattice
  - 32 - crystalline point group
  - 230 - 3 dimensional crystals — **space group**
- discrete translational symmetry

• log - spiral fixed angle



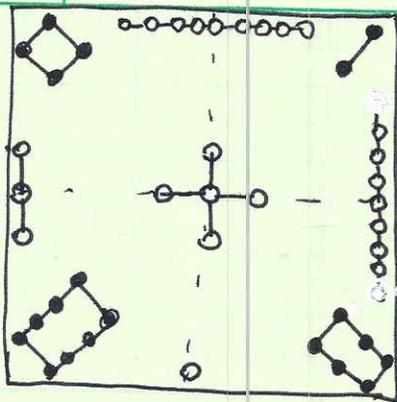
$$r = c e^{b\theta}$$

"Eadem mutata resurgo" — J. Bernoulli

**rotation + scaling  $\rightarrow$  invariance**

"Why does a moth fly into a flame?"

\* Magic square (Luo-shu)



② Viète theorem - permutation group

symmetric polynomials

$$x^2 + a_1x + a_2 = 0 \Rightarrow \begin{cases} x_1 + x_2 = -a_1 \\ x_1x_2 = a_2 \end{cases} \text{ invariant under permutation } (12)$$

how about  $x_1 - x_2$ ?  $\rightarrow$  odd under  $(12)$

hence  $(x_1 - x_2)^2$  is invariant  $\Rightarrow (x_1 - x_2)^2 = (x_1 + x_2)^2 - 4x_1x_2 = a_1^2 - 4a_2$

$$\Rightarrow \begin{cases} x_1 + x_2 = -a_1 \\ x_1 - x_2 = \sqrt{\Delta}, \text{ with } \Delta = a_1^2 - 4a_2 \end{cases} \Rightarrow x_{1,2} = \frac{-a_1 \pm \sqrt{\Delta}}{2}$$

$x_1 \pm x_2$ : representations of the permutation group  $S_2$ , or  $Z_2$

even:  $A_1$   
odd:  $A_2$

$\rightarrow$  Lagrange: symmetry and radical formulae

Galois theory: under what conditions, an equation

$x^n + a_1x^{n-1} + \dots + a_n = 0$  can be solvable by radicals.

In HW, you will use the property of  $S_3$  to find the radical formula for a cubic equation.

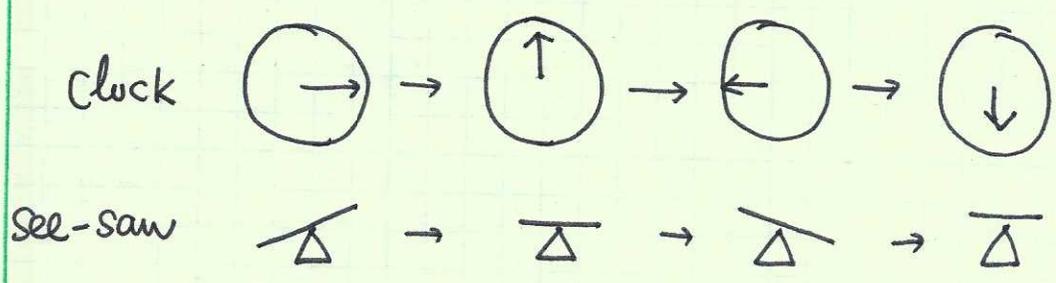
n-permutation group  $S_n: \begin{pmatrix} 1 & 2 & \dots & n \\ a_1 & a_2 & \dots & a_n \end{pmatrix}, n!$

$x^3 + px + q = 0$ : define  $\Delta = \left(\frac{q}{2}\right)^2 + \left(\frac{p}{3}\right)^3$ ,  $\omega = e^{i\frac{2\pi}{3}}$  ③

$\Rightarrow \begin{cases} x_1 = u + v \\ x_2 = u\omega + v\omega^2 \\ x_3 = u\omega^2 + v\omega \end{cases} \leftarrow \text{Cardano formula.}$

$u = \left(-\frac{q}{2} + \sqrt{\Delta}\right)^{1/3}, v = \left(-\frac{q}{2} - \sqrt{\Delta}\right)^{1/3}$

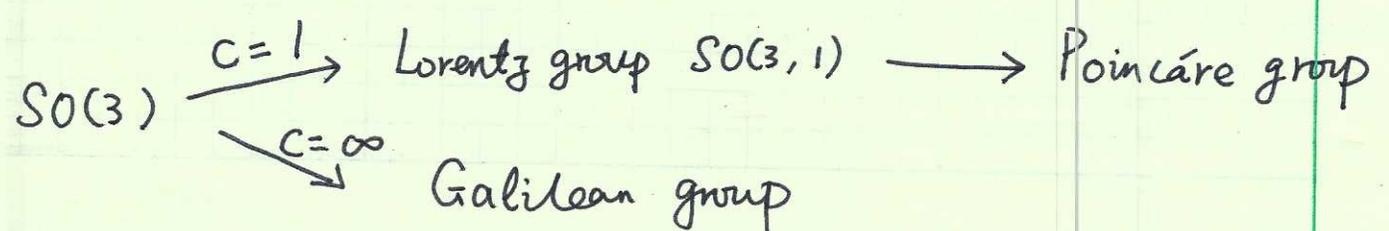
\* time-involved symmetries (S. Xu, C. Wu, PRL 120, 096401 (2018))



space-time group

Examples: space-time symmetry

- time translation symmetry:  $\frac{dH}{dt} = -\frac{\partial L}{\partial t} = 0 \rightarrow$  energy conservation
- space translation symmetry  $\rightarrow$  momentum conservation
- if  $L$  doesn't depend on  $q$   $\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}} \right) = \frac{\partial L}{\partial q} = 0$
- space isotropy  $\rightarrow$  angular momentum conservation



\*  $SO(n), SU(n), Sp(2n)$

• Harmonic oscillators  $H = \left[ \left( \frac{x}{l_0} \right)^2 + \left( \frac{p \cdot l_0}{\hbar} \right)^2 \right] \frac{\hbar \omega}{2}$

$a_x = \frac{1}{\sqrt{2}} \left[ \frac{x}{l_0} + i \frac{p_y l_0}{\hbar} \right]$        $a_x^\dagger = \frac{1}{\sqrt{2}} \left[ \frac{x}{l_0} - i \frac{p_y l_0}{\hbar} \right]$

2D harmonic oscillator  $H = \frac{\hbar \omega}{2} \begin{pmatrix} a_x^\dagger & a_y^\dagger \end{pmatrix} \begin{pmatrix} a_x \\ a_y \end{pmatrix}$

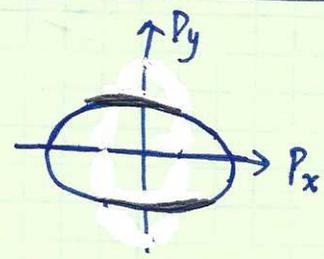
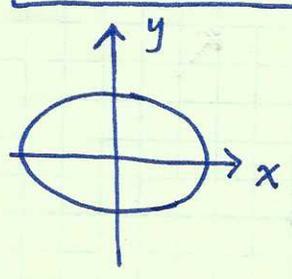
His invariant under  $SU(2)$  transformation  $\begin{pmatrix} a_x \\ a_y \end{pmatrix} \rightarrow U \begin{pmatrix} a_x \\ a_y \end{pmatrix}$ .

$U$ 's generator  $\tau_x = \begin{pmatrix} & 1 \\ 1 & \end{pmatrix}$ ,  $\tau_y = \begin{pmatrix} & -i \\ i & \end{pmatrix}$ ,  $\tau_z = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$

$\Rightarrow \hbar \tau_y = -i \frac{\hbar}{\hbar} (a_x^\dagger a_y - a_y^\dagger a_x) = x p_y - y p_x \leftarrow$  2D angular momentum

$\hbar \tau_x = \hbar [a_x^\dagger a_y + a_y^\dagger a_x] = \hbar \left[ \frac{xy}{l_0^2} + \frac{p_x p_y l_0^2}{\hbar^2} \right]$

$\hbar \tau_z = \dots = \frac{\hbar}{2} \left[ \frac{x^2 - y^2}{l_0^2} + \frac{(p_x^2 - p_y^2) l_0^2}{\hbar} \right]$



↓  
Ghirnapole

3D  $\rightarrow SU(3)$  symmetry

nD  $\rightarrow SU(N)$  symmetry

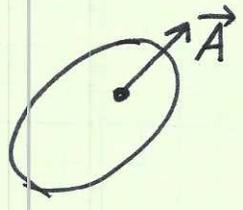
} symmetry group widely used in high energy physics.

Kepler problems — hydrogen problem

angular momentum conservation → planar orbit /  $2l+1$  fold degeneracy

Runge-Lenz vector → elliptical orbit

$$\vec{L}, \quad \vec{A} = \frac{1}{m\gamma} \vec{p} \times \vec{L} - \hat{r}$$



SO(4) symmetry →  $n^2$  degeneracy

Symplectic transformation (canonical transformation)

$$Q = Q(Q, P) \quad \text{define } M = \begin{pmatrix} \frac{\partial Q}{\partial q} & \frac{\partial Q}{\partial p} \\ \frac{\partial P}{\partial q} & \frac{\partial P}{\partial p} \end{pmatrix}$$

if  $M$  satisfies  $M \begin{pmatrix} 1 & \\ & -1 \end{pmatrix} M^T = \begin{pmatrix} 1 & \\ & -1 \end{pmatrix}$  ← symplectic transform

then the form of the Hamilton Eq is invariant, ie

$$\begin{matrix} \dot{q} = \frac{\partial H}{\partial p} \\ \dot{p} = -\frac{\partial H}{\partial q} \end{matrix} \xrightarrow{H(q,p) \rightarrow H(Q,P)} \begin{matrix} \dot{Q} = \frac{\partial H}{\partial P} \\ \dot{P} = -\frac{\partial H}{\partial Q} \end{matrix}$$

### \* Matrix groups

•  $n \times n$  unitary matrix:  $u^\dagger u = 1$  with  $\det u = 1$   
 $SU(n)$ : a vector in  $d$ -dimensional complex linear space

$$\psi = \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix} \quad \phi = \begin{pmatrix} d_1 \\ \vdots \\ d_n \end{pmatrix} \quad \psi'^\dagger \phi' = \psi^\dagger \phi$$

$$\psi' = u\psi, \quad \phi' = u\phi \Rightarrow \sum_{a=1}^n c_a^* d_a = \sum_{a=1}^n c_a'^* d_a'$$

•  $n \times n$  orthogonal matrix  
 $SO(n)$   $O^T O = 1$  with  $\det O = 1$

$$\left. \begin{matrix} \psi' = O\psi \\ \phi' = O\phi \end{matrix} \right\} \Rightarrow \left\{ \begin{matrix} \psi'^T \phi' = \psi^T \phi \\ \sum_{a=1}^n c_a d_a = \sum_{a=1}^n c_a' d_a' \end{matrix} \right.$$

•  $2n \times 2n$  symplectic matrix  $M^T \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} M = \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix}$

$$\psi = \begin{pmatrix} x_1 \\ \vdots \\ x_n \\ y_1 \\ \vdots \\ y_n \end{pmatrix} \quad \phi = \begin{pmatrix} u_1 \\ \vdots \\ u_n \\ w_1 \\ \vdots \\ w_n \end{pmatrix}$$

$$\psi^T \begin{pmatrix} 0 & I \\ -I & 0 \end{pmatrix} \phi = x^T w - y^T u = \sum_{a=1}^n (x_a w_a - y_a u_a)$$

is invariant under symplectic trans form.

\* Applications in QM — Wigner, Weyl

- Atomic, molecular spectra,
- theory of angular momentum
- wavefunction of identical particles

\* Application in condensed matter

- crystal and band structure / Bloch theory
- phase transition, criticality
- Majorana fermion / topological theory

\* High energy

- isospin

- unification:
 

{	$SU(3)$ — QCD
	$SU_L(2) \otimes U(1)$ — EM & weak interaction
	grand unification (?) $SU(5)$
	string theory

- Yang-Mills theory